Annexures
ANNEXURE I

QUESTIONNAIRE

1) Focus on which products?
2) Focus on which processes?
3) Aim of the R&D regarding product:
   - Stabilization
   - New Product Substitute
   - Others (specify)
   - Non-infringing product development
4) Aim of the R&D regarding process:
   - Lower production cost
   - Use of indigenous materials
   - Import substitute
   - Improvement in yield
   - Lower impurity profile
   - GMP requirements
   - Non-infringing process development
   - Others (specify)
5) Whether patent search was being used by R&D team?
6) If yes, which patent databases consulted? Which patents downloaded and used for guidance?
7) Whether company is aware of infringement aspects?
8) Whether company feels global technology status monitoring is important for R&D?
9) Whether R&D output resulted in any patent?
10) If yes, whether filed in India or abroad? (Get details)
11) Any collaboration for research/licensing of pharma technology with any institute?
12) Awareness regarding Indian Institutes working in your area of manufacturing?
    (1 = very low, 5 = very high)
13) Whether patent search is used to identify emerging technologies?

Production

1) What products are being manufactured?
2) What is the technological status of the process being used?
3) Have you checked whether this process is latest or have there been any advances in the area?
4) Where did you source this process from?
5) Which institutes are working in your area of production?
6) What are your rival companies?
7) Have you checked what patents are there for your production process?
8) What are the leading organizations in India and abroad working in areas closely related to your production area?
9) Have you done a thorough prior art search regarding the production process?
10) How old is the process which you are following?
ANNEXURE II

CONSENT FORM
for participation in study on IPRs and Technology Management for SSIs/MSMEs
for enhancing business profits

BENEFITS TO INDUSTRY

- Prevents duplication of research.
- 100% benefits of R&D at zero cost.
- Prevents infringement.
- Enhances business profits by improving product quality, solving technical problems and helping to source new products.

Co-ordinator- Mrs. Neena Bedi, Sr. Lecturer
Department of Pharmaceutical Sciences
GND University, Amritsar
Contact Details Ph: (O) 2258802-3453(M) 9814007358

I hereby consent to participate in this study and extend all co-operation required. I know that this work is approved as PhD work of Mrs. Neena Bedi.

Auth. Signatory

Name: Date: