# APPENDIX-1

## QUESTIONNAIRE FOR COLLECTING INFORMATION FROM SICK COMPANIES

### 1 Company Details

1.1 Name of the company

1.2 Year of incorporation

1.3 Original promoter

1.4 Industry to which the company belongs

1.5 Main product of the company

1.6 Year of incurring continuous losses

1.7 Year of 100% erosion of networth

1.8 Date of reference to the BIFR

1.9 Who made the reference to the BIFR
   - (a) Board of Directors
   - (b) Bank
   - (c) Financial Institution
   - (d) Others

1.10 Name of the Operating Agency (OA) appointed

1.11 Was there an enquiry by the OA before declaring the company as sick?
   Yes ☐ No ☐

1.12 Date of declaration as ‘sick’ by the BIFR

1.13 What were the major causes of sickness:

   (a) Internal problems relating to
       - (1) Finance
       - (2) Production

   (b) External problems relating to
       - (1) Globalisation
       - (2) Government legislation
       - (3) Labour problems
       - (4) Market recession
       - (5) Heavy taxation
       - (6) Import restrictions
       - (7) Obsolete technology
       - (8) Other reasons
2 Details of the Revival Scheme

2.1 Was there a revival scheme implemented in the company before reporting it to the BIFR?  
Yes ☐  No ☐

2.2 Has the BIFR given sanction for the continuation of already implemented rehabilitation scheme?  
Yes ☐  No ☐

2.3 Date of approval of the revival scheme. ..........................................

2.4 Cut of date of the revival scheme. ..............................................

2.5 Was the cut of date extended?  
Yes ☐  No ☐

2.6 If yes, specify the cut of date. ..............................................

2.7 Was the original revival scheme replaced by a new scheme?  
Yes ☐  No ☐

2.8 If yes, specify the date of approval of the new scheme. ..........................................

2.9 Was there any provision in the scheme for improving the operating efficiency of the firm?  
Yes ☐  No ☐

2.10 Whether the revival scheme included measures to increase sales?  
Yes ☐  No ☐
   If yes;
   2.10.1 Whether the adequate sales promotion measures were included in the revival scheme?  
Yes ☐  No ☐
   2.10.2 Which method was used to increase production?  
(a) Increase in capacity ☐  (b) Diversification of activities ☐

2.11 Whether the revival scheme included measures for financial restructuring?  
Yes ☐  No ☐
   If yes, which method was used?
   (a) OTS of dues ☐  (b) Interest reduction ☐  (c) Interest waiver ☐
   (d) Waiver of penal interest/penal charges ☐  (e) Increase in equity capital ☐
   (f) Increase/reduction in loans ☐

2.12 Was there any other measures to improve the operating efficiency (specify). ..............................................

2.13 Whether the revival package contained following commitments by the parties to the scheme?  
(a) Fresh loans from banks/FIs ☐  (b) Waiver of penal charges/interest by banks/FI ☐
   (c) Funding of interest by banks/FIs ☐  (d) Rescheduling of loans by banks/FI ☐
   (e) Providing ST term loans with or without interest ☐
(f) Exemption / Deferment of ST for a period
(g) Exemption from power cut
(h) Exemption / Deferment of excise duties
(i) Deferment of PF / other penal charges
(j) Waivers / Reduction of remuneration
(k) Any other please specify

3 Evaluation of the Scheme

3.1 What is the extent of implementation of the scheme
(a) Full ☐ (b) Partial ☐ (c) Not implemented ☐

3.2 Did the commitments by various parties fulfilled
(a) Banks (b) Financial institutions (c) State Government (d) Central Government (e) Promoters (f) Labour force (g) Others (please specify)

3.3 Please provide the reason for the nonfulfilment of any commitment by any party

3.4 Is the revival scheme working as expected- Yes ☐ No ☐

3.5 If no, what is the reason?
(a) Poor demand for the product (b) High cost of production (c) Labour problems (d) Effects of globalisation (e) Non availability of inputs (f) Changes in government policy (g) Infrastructural bottlenecks (h) Other reasons, specify
4 Details of OA and Supervision of the Scheme

4.1 Name of the operating agency .................................................................

4.2 Specify the services provided by the OA in rehabilitation
   (a) Technical advice □ (c) Financial help □
   (b) Managerial advise □ (d) Monitoring and follow up □
   (e) Any other (please specify) .................................................................

4.3 Was there a nominee Director by the BIFR/OA? Yes □ No □

4.4 Was there a managing committee to monitor the scheme Yes □ No □

4.5 Comment on the monitoring done by the OA
   (a) Excellent □ (b) Good □
   (c) Satisfactory □ (d) Not satisfactory □ (e) Poor □

4.6 Brief suggestion for improvement of the revival schemes .................
APPENDIX – 2

FIRST SCHEDULE OF THE INDUSTRIES
(DEVELOPMENT AND REGULATION) ACT, 1951

1. Metallurgical Industries
2. Fuels
3. Boilers and Steam Generating Plants
4. Prime Movers other than Electrical Generators
5. Electrical Equipment
6. Telecommunications
7. Transportation
8. Industrial Machinery
9. Machine Tools
10. Agricultural Machinery
11. Earth-Moving Machinery
12. Miscellaneous Mechanical and Engineering Industries
13. Commercial, Office and Household Equipment
14. Medical and Surgical Appliances
15. Industrial Instruments
16. Scientific Instruments
17. Mathematical, Surveying and Drawing Instruments
18. Fertilisers
19. Chemicals (other than Fertilisers)
20. Photographic Raw Film and Paper
21. Dye-Stuffs
22. Drugs and Pharmaceuticals
23. Textiles (including those Dyed, Printed or Otherwise Processed)
24. Paper and Pulp including Paper Products
25. Sugar
26. Fermentation Industries
27. Food Processing Industries
28. Vegetable Oils and Vanaspathi
29. Soaps, Cosmetic and Toilet Preparations
30. Rubber Goods
31. Leather, Leather Goods and Pickers
32. Glue and Gelatin
33. Glass
34. Ceramics
35. Cement and Gypsum Products
36. Timber Products
37. Defence Industries
38. Miscellaneous Industries

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