Annexure-II

SRI KRISHNADEVARAYA INSTITUTE OF MANAGEMENT
SRI KRISHNADEVARAYA UNIVERSITY: ANANTAPUR
The Impact of Reforms on Securities Market in India

Name of the Research Scholar : Mr. G.V. Kesava Rao
Name of the Research Supervisor : Prof. Ch. Rama Prasada Rao

Questionnaire for Intermediaries

1. Name:
2. Address:

3. Number of years of business:
   a. Less than 1 year
   b. 01-05 years
   c. 05-10 years
   d. Above 10 years

4. What is the form of your business
   a. Non Corporate (Proprietary/ Partnership)
   b. Corporate (Public & Private Limited Company)

5. What is your major business activity
   a. Sub broker
   b. Broker
   c. Depository Participant

345
6. Turnover per annum
   a. Less than 10 lakhs
   b. 10 lakh- 50 lakhs
   c. 50 lakhs-100 lakhs
   d. Above 100 lakhs

Please tick the appropriate box that best suits your opinion for the following statements.

7. Repeal of CCI and establishment of SEBI contributed the most for growth of the market.
   [Strongly Agree | Agree | Can't say | Disagree | Strongly Disagree]

8. Dematerialization and depositories solved many problems of investors.
   [Strongly Agree | Agree | Can't say | Disagree | Strongly Disagree]

9. Introduction of derivatives increased for better profits with lower risks.
   [Strongly Agree | Agree | Can't say | Disagree | Strongly Disagree]

    [Strongly Agree | Agree | Can't say | Disagree | Strongly Disagree]

11. Free pricing of issues and book building have provided for price discovery.
    [Strongly Agree | Agree | Can't say | Disagree | Strongly Disagree]

12. Segregation of clients’ accounts led to transparency and minimized misuse of clients’ funds.
    [Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree]

13. Revised carry forward system controlled speculation to a great extent.
    [Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree]

14. Establishment of Central Listing Authority has made listing easier & provided for better control.
    [Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree]
15. Rules and Regulations of SEBI are comprehensive & effective.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

16. SEBI has better control on Intermediaries.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

17. SEBI could minimize frauds in the market to a large extent.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

18. SEBI has been an effective regulator of the market.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

19. SEBI is effective in controlling various anomalies viz. price rigging, insider trading etc.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

20. Screen based trading minimized exploitation of investors.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

21. Provisions of Companies Act, Clauses of listing agreement, SEBI guidelines etc. enhanced investors' confidence in the system.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

22. Disclosure norms and redressal forums are providing for better investor protection.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

23. Establishment of Investor Education and Protection Fund boosted the efforts of investor protection.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |

24. The measures undertaken by the authorities are providing adequate protection.

| Strongly Agree | Agree | Can’t say | Disagree | Strongly Disagree |
For the following Attributes relating to the market, please indicate the level as perceived by you.

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<thead>
<tr>
<th>Attribute</th>
<th>Very High</th>
<th>High</th>
<th>Average</th>
<th>Low</th>
<th>Very Low</th>
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<tbody>
<tr>
<td>F. Transparency</td>
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<td>G. Depth and liquidity</td>
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<td>H. Penetration in to remote markets &amp; breadth</td>
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<td>I. Accountability of the parties involved</td>
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<td>J. Controllability</td>
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