QUESTIONNAIRE
Dear Sir/Madam,

I am pleased to inform you that I am pursuing Ph.D., program through the Department of Commerce of St. Joseph’s College (Autonomous), Tiruchirappalli. Besides being a research scholar I am working as a Lecturer in Management Studies at St. Joseph’s Institute of Management, St. Joseph’s College.

The intent of the present data collection is to use the collected data for Academic purpose only and I promise you that it shall not be used for any other purpose. Hence I request you to fill up the questionnaire and return to me.

Thanking you. 

Yours sincerely, 

(J. Michael Sammanasu)

I. PERSONAL PROFILE (PLEASE TICK)

1. Name (Optional) :

2. Age :  
   1. Upto – 30   
   2. 31- 40   
   3. 41-50   
   4. 51 – 60   
   5. Above 60

3. Sex :  
   1. Male   
   2. Female

4. Marital status :  
   1. Married   
   2. Unmarried   
   3. Widowed   
   4. Divorced

5. Education :  
   1. Below graduation   
   2. Graduation   
   3. Post graduation   
   4. Professional degree

6. Occupation
   1. Employee :  
      (i) Govt   
      (ii) PSU’s   
      (iii) Private
   2. Professional :  
      (i) Lawyer   
      (ii) Doctor   
      (iii) IT Professional   
      (iv) Charted / Cost Accountant   
      (v) Engineer   
      (vi) Academician
   3. Business man / industrialist:
   4. Pensioner :
   5. Others (Specify) :  
      (i) Retired   
      (ii) Non-Pensioner   
      (iii) Farmer   
      (iv) Trader   
      (v) Senior Citizens
7. Annual Income :  
   1. Upto 50,000  
   2. 50001-100000  
   3. 100001-200000  
   4. 200001-500000  
   5. Above 500000

8. Annual Savings :  
   1. Upto Rs.10000  
   2. Rs.10001-25000  
   3. Rs.250001-50000  
   4. Rs.50001-100000  
   5. Above Rs. 100000

II. LEVEL AND PATTERN OF INVESTMENT

2.1 What is your average savings in each Financial asset? (Specify the amount)
   a) Capital market instruments (Shares-debentures & bonds) Rs.  
   b) Bank deposit Rs.  
   c) Post office savings Rs.  
   d) Government security Rs.  
   e) Insurance premium Rs.  
   f) Chit funds Rs.  
   g) Provident funds Rs.  
   h) Others (specify) Rs.

2.2 How many years of market experiences do you have in the capital market?
   1. Less than 3 years  
   2. 3 to 5 years  
   3. 6 to 10 years  
   4. More than 10 years

2.3 How long have you been in share market investment?
   1. Less than 3 years  
   2. 3 to 5 years  
   3. 6 to 10 years  
   4. More than 10 years

2.4 How long have you been in debenture market investment?
   1. Less than 3 years  
   2. 3 to 5 years  
   3. 6 to 10 years  
   4. More than 10 years

2.5 What is your period of investment in mutual fund schemes?
   1. Less than 3 years  
   2. 3 to 5 years  
   3. 6 to 10 years  
   4. More than 10 years

2.6 State your ranking of investment in capital market (1 - 3)
   1. Shares  
   2. Mutual funds  
   3. Debentures
III. DIVERSIFICATION OF INVESTMENT (PLEASE TICK)

3.1 How many companies your existing portfolio has?
1. 1 to 5  
2. 6 to 10  
3. 11 to 20  
4. Above 20  

3.2 Rank your investment in the following sectors (1 – 8)
1. Capital goods  
2. Bank  
3. Fast moving consumer goods  
4. IT  
5. Consumer Goods  
6. Health care  
7. Auto  
8. Metal  

3.3 Have you diversified your debenture investment?
1. Yes  
2. No  

3.4 If Yes….. How many companies do you have in your Portfolio?
1. 1 to 5  
2. 6 to 10  

3.5 What is your level of diversification in mutual fund schemes?
1. Less than 5  
2. 6-10  
3. 11-15  
4. Above 15  

IV. SIZE OF INVESTMENT

4.1 What is your size of Annual Investment in shares? (please tick)
1. Upto Rs.25000  
2. Rs.25001-50000  
3. Rs.50001-100000  
4. Above Rs.100000  

4.2 What is your size of Annual investment in Debentures?
1. Upto Rs.25000  
2. Rs.25001-50000  
3. Rs.50001-100000  
4. Above Rs.100000  

4.3 What is your Annual size of investment in Mutual Fund Schemes? (please tick)
1. Upto Rs.25000  
2. Rs.25001-50000  
3. Rs.50001-100000  
4. Above Rs.100000  

4.4 State your preferred Investment opinion for Future (please rank)
1. Shares  
2. Debentures  
3. Mutual Fund schemes  

4.5 Rank the factors that Hinder your Investment Plans / Expansion etc.,

1. Incurrent risk
2. No promising return
3. Liquidity problems
4. High volatility
5. Others (please specify)…

V. MODE OF INVESTMENT PREFERRED BY INVESTORS (PLEASE TICK)

5.1 What is the mode of investment preferred by you?
1. Primary market
2. Secondary market
3. Private placement

5.2 Rank the most important criterion considered by you while operating in the Primary Market (1 – 7)

1. Nature and type of product
2. Industry / Sector to which the company belongs
3. Terms of issues
4. Promoter’s track record
5. Board of directors
6. Equity participation by FI / FIIs
7. Risk factors

5.3 Will you continue with your investment in Capital Market?
If Yes…
Will you drastically change your strategy?
1. Yes
2. No

5.4 Which factors do influence you to take investment decision in secondary market? (Rank in the order of preference)

1. Change in government policy
2. Advice of brokers
3. Advice of dailies/periodicals
4. Advice of websites
5. Movement of indices
6. Market sentiments

5.5 What factors influence / motivate you in the selection of shares?

1. Dividend
2. Capital gains
3. Bonus shares
4. Rights shares
5. Tax benefits
5.6 What factors do you consider while investing in company shares? (Please tick)

1. Book value
2. Market value
3. High-low price
4. Earning per share
5. Price earning ratio
6. Market capitalization

5.7 What mode of trading do you prefer for Secondary Market Operations?

1. NSE terminal
2. BSE terminal
3. Stock brokers or sub brokers

VI. WHAT FACTORS INFLUENCE YOUR CHOICE OF MUTUAL FUND SCHEME (PLEASE RANK THEM) (1 – 5)

6.1 1. Type of scheme
2. Image and popularity of Asset management company (AMC) / sponsor
3. Past performance
4. Net asset value
5. Advertising and campaign

6.2 Which mutual funds scheme do you prefer to invest? (Please tick)

1. Growth scheme
2. Income scheme
3. Balanced scheme
4. Sector wise scheme
5. Tax savings scheme
6. Index fund
7. Special investment plan (SIP)

VII. OVER ALL EXPERIENCE OF INVESTORS ON INVESTMENT (PLEASE TICK ANY ONE)

1. Highly rewarding
2. Moderately rewarding
3. Not rewarding
4. Resulted in loss
5. Resulted in heavy loss

VIII. WHAT ARE YOUR SUGGESTIONS FOR IMPROVING THE ATTRACTIVENESS OF CAPITAL MARKET INVESTMENT (Please tick)

1. Demutualise major stock exchanges
2. Improve transparency in investment operations
3. Introduction of rolling settlement to more shares
4. Control excessive speculation and price (rigging by share brokers)
5. Give more powers to SEBI on investors protection
6. Please specify your suggestions
IX. If you decide to move out of Capital Market Investment what will be your next options and why?

1. Bank
2. Real Estate
3. Bullion Market
4. Antiquities
5. Insurance

Why (Please tick)

1. Safety of the principal
2. Stability of return
3. Profitability
4. Liquidity
5. Any other…