APPENDIX
KANDASWAMI KANDAR'S COLLEGE, VELUR

A STUDY ON PROTECTION TO INDIVIDUAL INVESTORS IN INDIAN SECURITIES MARKET
(with special reference to investors in securities in Karur and Namakkal District)

QUESTIONNAIRE

This Questionnaire has been designed only for the purpose of a project work undertaken by Miss. R. Manimegalai, an M.Phil (Commerce) student of the Department of Commerce of K.K.College, Velur affiliated to Periyar University. The information provided here in will be strictly confidential. There are no right (or) wrong answers.

1. Do you have investments in any of the following?
   - Savings account
   - Fixed Deposit
   - Shares
   - Mutual Funds
   - Bonds
   - Government Securities
   - Others (please specify)

2. Do you have insurance policy?
   - Yes
   - No

3. Are you aware of SEBI Act?
   - Yes
   - No

4. Do you agree that SEBI Act protects the interest of Investors?
   a. Strongly agree
   b. Agree
   c. Neither agree (or) disagree
   d. Disagree
   e. Strongly disagree

5. Where / How do you generally know about the issue of securities?
   - TV
   - Newspaper advertisements
   - Brokers
   - Tax Consultants
   - Magazine articles
   - Spouse
   - Parents
   - Relatives
   - Banks
   - Friends
   - Others
6 How important are tax concessions to you?

Very Important □  Important □  Not Important □

7 a) Have you invested in securities which provide tax concessions:

Yes □  No □

b) If yes where?

Bonds

i) □  ii) □

Others

i) □  ii) □

8 How long are you having investments in Corporate Securities?

Even before □  After the SEBI Act □

SEBI Act came into being □

9 Do you come across increase in market value of your investments bought before Harsath Metha scam?

Yes □  No □

10 The Market value of securities which are issued after Harsath Metha scam used to:

Remain stable □  Increases □

gradually □  Frequent oscillation □

11 Rank the order of following which you consider as investors' protection

a. Higher rate of dividend □

b. Increase in Market value of shares □

c. Participation in the Management of the company □

d. Convertible into cash without loss □

e. Exemption from Income-Tax and Capital gains tax □
12. Please answer the following on the following scale by making a tick:
1. Strongly agree
2. Agree
3. Neither agree or disagree
4. Disagree
5. Strongly disagree

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<th>Questions</th>
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<tr>
<td>a. Do you agree that the SEBI Board prohibits unfair trade in the securities market?</td>
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<td>b. Do you agree that the SEBI promotes investors education?</td>
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<td>c. Do you agree that compulsory listing of shares in stock exchanges will protect investors' interest?</td>
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<td>d. Do you agree that buy-back of shares will enhance the market value?</td>
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<td>e. Do you agree that trading Government securities in stock exchanges will protect the investors?</td>
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<td>f. Do you agree that the Investor's Protection Cell promoted by the Government will protect the investors?</td>
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<td>g. Do you agree that SEBI Act overcomes the Companies Act in protecting the investors?</td>
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<td>h. Do you agree penal action against corporate defaulters will protect investors interest?</td>
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<td>i. Do you agree with the auditors report of the Companies as guidelines for investment?</td>
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<td>j. Do you agree that disclosure of informations especially highlights and drawbacks about a company in the prospectus as a guideline for investments?</td>
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<td>k. Do you agree that regulation on stock brokers will protect investors' interest?</td>
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<td>l. Do you agree that demat account will be convenient?</td>
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**PERSONAL DETAILS**

1. Name of the Respondent :  

2. Address of the Respondent :  

3. Date of Interview :  

4. Questionnaire Number :  

5. Age :
   - Less than 25 years [ ]
   - 25 to 40 years [ ]
   - 40 to 55 years [ ]
   - More than 55 years [ ]

6. Sex :
   - Male [ ]
   - Female [ ]

7. Educational Qualification :
   - Below S.S.L.C [ ]
   - S.S.L.C [ ]
   - Degree [ ]
   - P.G.Degree [ ]

8. Occupation :
   - Business [ ]
   - Professional [ ]
   - Service [ ]
   - Housewife [ ]
   - others [ ] (Please Specify ........................................)

9. Name of the organization currently employed : ........................................

10. Monthly Income :
    - Less than Rs. 10,000 [ ]
    - More than Rs. 10,000 [ ]

11. I own the following :
    - Bicycle [ ]
    - Car [ ]
    - TV [ ]
    - VCR [ ]
    - Vacuum Cleaner [ ]
    - Two Wheeler [ ]
    - Refrigerator [ ]
    - House [ ]
    - Phone [ ]
    - Radio [ ]

12. I read the following newspapers :
    - Deccan Herald [ ]
    - Indian Express [ ]
    - Times of India [ ]
    - Financial Express [ ]
    - Standard [ ]
    - Asian Age [ ]
    - The Hindu [ ]
    - Others [ ]