Appendix-I

Questionnaire on Intellectual Property Management

Please state the nature of your Industry / Organisation

a. Manufacturing
b. Service
c. Healthcare & Pharmaceuticals
d. Education & Training
e. Research & Development
f. IT & ITES
g. Media & Entertainment
h. Biotechnology
i. Other

1. What is the size of your organisation?
   j. Small business (<20 employees)
k. Medium business (20-99 employees)
l. Medium-large business (100-500 employees)
m. Large business (>500 employees)

2. Which of the following types of IP rights does your organisation own or make use of?
   a. Patents
   b. Trademarks
c. Designs
d. Copyright
e. Semiconductor Integrated Circuits
   f. Other

3. Does your organization have an IP Policy?
   a. Yes
   b. No

4. Are ideas (Potential IP) given by employees maintained in any database of the organisation?
   a. Yes
   b. No

5. Does your organisation have a dedicated IP Committee/Cell?
   a. Yes
   b. No

6. If yes to Q5, are all departments of your organisation represented in the Committee?
   a. Yes
   b. No
   c. Not Applicable

7. What role, if any, does the committee play vis-à-vis IP in the organisation?
   a. Setting the strategy for IP development and exploitation/use
   b. Providing guidelines for the organisation to set a strategy for IP management
c. Monitoring performance of the IP portfolio
d. Negotiating and Licensing of IP
e. All of the above/More comprehensive role
f. Not applicable

8. As a general rule do all employees and consultants sign non-disclosure / confidentiality agreements with the organisation?
   a. Yes
   b. No
   c. Situation Specific

9. During the course of IP development are regular searches conducted to look for competing IP in the similar field?
   a. Yes
   b. No
   c. Situation Specific

10. Does the HR department organize any training programs/workshops in IP related issues for the employees?
    a. Yes
    b. No
    c. Sometimes

11. Does the HR process of recruitment and exit interviews incorporate any provisions vis-à-vis IP that employees may have worked on?
    a. Yes
    b. No

12. Who owns the rights on IP created at your organisation?
    a. Organisation
    b. Researcher/Developer
    c. Joint Ownership
    d. Case Specific
    e. No specific policy on ownership

13. Is there any percentage of your organisation’s turnover that is spent specifically on research and development of IP?
    a. Yes
    b. No
    c. No Answer

14. Does your organisation license in IP from third parties for specific use within the business?
    a. Yes
    b. No
    c. Not applicable

15. If yes to Q14 above, is there any due diligence conducted prior to licensing in such IP?
    a. Yes
    b. No
c. Situation Specific
d. Not Applicable

16. Does your organisation reflect its IP and intangible assets in its balance sheet?
   a. Yes
   b. No

17. Has your organisation ever leveraged its IP to seek finance or used it as business collateral?
   a. Yes
   b. No
   c. No Answer

18. Does your organisation carry out regular audits of its IP?
   a. Yes
   b. No

19. Has your organisation ever taken any legal action to resolve a dispute over IP rights?
   a. Yes
   b. No

20. If yes to Q19 above, In your opinion could such legal action have been avoided if established policy, procedures and legal barriers to infringement had been put in place within the Organisation?
   a. Yes
   b. No
   c. Don't Know/Can't Say

21. What do you foresee as your biggest challenges to the organisation in the area of IP management? Rank in order of priority (1 being highest priority).
   a. Creating an IP strategy.
   b. Setting up a dedicated IP department.
   c. Creating a portfolio of IP rights and protecting those rights.
   d. Exploiting, commercializing and valuing the IP owned.
   e. Enforcing your organisations IP rights.

This questionnaire forms part of the Ph.D. study titled ‘Intellectual Property Management; legislative and policy analysis’ being pursued at the Symbiosis Centre for Research and Innovation (SCRI), Pune. The questionnaire has been prepared by the author himself based on research of various intellectual property related questionnaires.

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