APPENDIX

QUESTIONNAIRE
PREFERENCES OF MUTUAL FUND INVESTORS
IN CHENNAI CITY

QUESTIONNAIRE

01.Name : 
02.Age : 
03.Gender : 1. Male 2. Female
04.Education : 1. UG/Diploma 3. Professionals
                  2. PG 4. Others
05.Occupation : 1. Government/Public sector
                  2. Private sector
                   3. Business
                   4. Housewife/Retired
                   5. Others
06.Marital Status : 1. Married 2. Unmarried
07.Annual Income : a. Less than Rs.1 Lakh
                   b. Rs.1Lakh to 2Lakh
                   c. Rs.2Lakh to Rs.3 Lakh
                   d. Above Rs.3 Lakh
08.Mutual Funds investment are profitable : Yes No
09.If yes reason for investing in Mutual Funds : 1. Tax Benefit
                                               2. Regular income
                                               3. Safety
                                               4. Liquidity
                                               5. Capital appreciation
10. The source of information about Mutual Funds:
   1. Radio
   2. Television
   3. Friends
   4. Newspapers/Magazines
   5. Others

11. Order of preference of investment among various alternatives:
   1. Long term
   2. Medium Term
   3. Short term

12. Which sort of scheme do you prefer in Mutual funds:
   1. Open ended
   2. Closed ended
   3. Interval

13. Which sector Mutual Funds you prefer:
   1. Private sector
   2. Public sector
   3. Both

14. Please indicate your investment option:
   Public Sector: 1. UTI
   2. SBI
   3. LIC
   4. GIC
   5. Can Bank
   Private Sector: 1. Templeton
   2. Prudential ICICI
   3. HDFC
   4. BIRLA
   5. Sundaram

15. Do you invest your money other than in mutual funds:
   Yes  No

16. How long you have been investing in Mutual Funds:
   a. Less than 5 years
   b. 5 to 10 years
   c. 10 to 15 years
   d. Above 15 years
17. The type of mutual fund you prefer:
   1. Equity
   2. Debt
   3. Sector specified
   4. Balanced
   5. Liquid
   6. Gilt
   7. Index

18. Your investment preference in Sector specified Mutual Fund:
   1. IT sector
   2. Automobiles
   3. Banking Sector
   4. Pharmaceuticals
   5. Petroleum Companies

19. Awareness of Mutual Funds
   (SA- strongly agree, A- agree, N-neither agree nor disagree, DA-disagree, SDA strongly disagree)

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<tr>
<th>Sl. No.</th>
<th>Description</th>
<th>SA 5</th>
<th>A 4</th>
<th>N 3</th>
<th>DA 2</th>
<th>SDA 1</th>
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<tbody>
<tr>
<td>01</td>
<td>Investments in mutual funds guarantees the capital</td>
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<td>02</td>
<td>Risk involved in Mutual funds is considerably less than other investment</td>
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<td>03</td>
<td>Investors are comfortable with mutual fund investments due to safe approach</td>
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<td>04</td>
<td>Mutual Fund schemes where investment are made in shares and convertible debentures are risky</td>
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<td>05</td>
<td>The investment in non-convertible debentures are safe</td>
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<td>06</td>
<td>Safety is less in the case of growth scheme</td>
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<td>07</td>
<td>Growth schemes are suitable for long term benefits</td>
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<td>08</td>
<td>Safety and risk are important determinants for good returns</td>
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<td>09</td>
<td>Mutual funds are always subject to market risk</td>
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<td>10</td>
<td>Risk and returns are inter-related objects</td>
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<td>11</td>
<td>Mutual Funds may give poor returns but principal will always be safe</td>
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<td>12</td>
<td>Investors’ interests are well protected by SEBI</td>
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<td>13</td>
<td>Mutual Funds are risky as investment in portfolio</td>
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RETURNS FROM MUTUAL FUNDS

14 SEBI's role is instrumental in guaranteeing returns from Mutual Funds and increase in value

15 Flexibility in Funds Management increases the returns

16 Retired persons, handicapped persons widows are getting good benefits

17 Mutual funds combine liquidity and return

18 Capital Adequacy guarantees good and safety returns

19 Freedom of Mutual funds increases returns to investors

20 Because of giving good returns to investors, mutual funds can compete with other Financial sectors

21 Emergency of a competitive market paved the way for better returns

22 Modern methods are used to measure returns in Mutual Funds

23 Short term returns attracted maximum number of investors

TRANSPARENCY AND TAX CONCESSION

24 Disclosures in the scheme offer documents are standardized

25 Transparency is achieved through several important disclosures

26 Application forms of mutual funds are accompanied by detailed information

27 Disclosing of Portfolio on risk/returns schemes achieves good transparency

28 Communication with investors is a very important tool for mutual fund market

29 Periodic newsletters are sent to the investors

30 Periodic account statements are issued

31 Measures are taken to redress investors' grievances

32 Websites on schemes are updated for investors

33 Weekly announcements are mandatory to bring uniformity in the industry

TAX BENEFITS

34 Equity linked Savings Schemes are useful for tax benefits

35 Reduces the burden of paying Tax

36 Public and Private sector employees are interested to invest in mutual funds to get tax benefit and returns

37 Tax rebate percentage is more through mutual funds
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<tr>
<td>38</td>
<td>Mutual funds are designed to serve different segments of society like Widows, Children, Senior Citizens in the form of tax rebate</td>
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<td>39</td>
<td>Increase / decrease in standard deduction does not affect the tax benefits of mutual funds</td>
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<td>LIQUIDITY IN MUTUAL FUNDS</td>
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<tr>
<td>40</td>
<td>Liquidity is good in the mutual funds investment</td>
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<td>41</td>
<td>Liquidity in holdings in mutual funds is more popular than direct investments</td>
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<td>42</td>
<td>Liquidity is possible for the securities through mutual funds</td>
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<td>43</td>
<td>A particular portfolio can be liquidated in the mutual funds</td>
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<td>44</td>
<td>Security can be sold if the investors are not interested in mutual fund investment</td>
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<td>45</td>
<td>Open ended funds offer more liquidity</td>
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<td>SERVICE TO THE INVESTORS</td>
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<tr>
<td>46</td>
<td>Collection of subscription is regularly done</td>
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<td>47</td>
<td>Unit certificates are sent periodically</td>
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<td>48</td>
<td>Transfers are possible in mutual funds</td>
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<td>49</td>
<td>In case of growth schemes, dispatch of Dividends and Warrants are faster</td>
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<tr>
<td>50</td>
<td>Subscription can be paid through Banks</td>
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<tr>
<td>51</td>
<td>Genuine investors are identified to deliver prompt service</td>
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