APPENDICES
Dear Sir / Madam,

I am a research scholar doing research for Ph.D (Part-time) in Alagappa University, Karaikudi. I am doing research on the title called “A STUDY ON INDIAN CAPITAL MARKET”. The enclosed Interview Schedule has been prepared in order to gather data required for my study. I request you to spare a few minutes for filling up the schedule and return the same at your earliest convenience.

Your response will be kept confidential and used for the purpose of academic research only. Your frank observations and opinions would be of great value in conducting a useful survey for the successful completion of my study.

Thanking you,

Yours Sincerely,

(V. SEKAR)
Research Scholar
Department of Corporate Secretaryship,
Alagappa University,
KARA IKUDI

Place : Karaikudi
A STUDY ON INDIAN CAPITAL MARKET

1. GENERAL INFORMATION

1. NAME
   (in black Letter)

2. Address :

3. Community : Sex : male/ female

4. Age Between :
   20 to 25
   25 to 30
   30 to 35
   35 to 40
   40 to 45
   45 and above

5. Occupation :
   Salaried employment
   Professional Practice
   Others Specify any

6. Annual Income Between :
   upto Rs. 3,00,000
   Rs. 3,00,000 to 4,00,000
   Rs. 4,00,000 to 5,00,000
   More than Rs. 5,00,000

7. Which type of Investment generally you prefer?

1. Share
2. Debentures
3. Units of UTI
4. Post office saving schemes
5. LIC – Policies
6. Other Investment – Specify.
8. Which Type of Mutual find generally you prefer?

1. UTI-MF [ ]
2. LIC- MF [ ]
3. GIC-MF [ ]
4. IDBI-MF [ ]
5. Banking sector M.F [ ]
6. Others if any [ ]

9. Does any Individual or Association help you in your investment.

1. Individual [ ]
2. Association [ ]
3. Self [ ]

10. What type of communication your will entertain for Investment.

1. News Letter [ ]
2. Journals [ ]
3. Magazines [ ]
4. Display-Advertisement [ ]

11. Do you like to have any Agency force for the Investment.

1. Agency force [ ]
2. Cocuselling [ ]
3. Middlemen function [ ]
   (unauthorised)
4. No help from other [ ]

12. State the criteria for preparing your Investment.

1. Safety [ ]
2. Security [ ]
3. Transferability [ ]
4. Liquidity [ ]
5. Profitability [ ]
13. How will you plan for your investment.

1. Yearly
2. Half-yearly
3. Quarterly
4. Monthly

14. Are you buying any Newspaper and Journals for Information about investment.

Yes [ ] No [ ]

15. If yes for how long you have been buying.

For the past one year [ ]
For the past two tears [ ]
For the Three years [ ]
More than years [ ]

16. In a week how much time you normally spend for making a decision about Investment.

Hours [ ]
Half day [ ]
Fully day [ ]
Two days [ ]
More than two days. [ ]

17. Is there any Association for Investor in your Town.

Yes [ ] No [ ] Not known [ ]

18. If yes how do you know about it functioning

Friends [ ] Brokers [ ] Relatives [ ] Other [ ]

19. Are you a Member of any such Association?

Yes [ ] No [ ]
20. An Investor would earn real of return only when his portfolio rises steadily?

Yes [ ]  No [ ]

21. ‘CRSIL’ Provides comprehensive financial consultancy to those who approach it.

Yes [ ]  No [ ]

22. Investible Funds have an opportunity cost which influence the Investor required rate of Return.

Yes [ ]  No [ ]

23. A diversified portfolio consists of Securities which yield Maximum return.

Yes [ ]  No [ ]

24. Price fluctuations rises results from systematic changes in the prevailing market Interest Rates.

Yes [ ]  No [ ]

II. CORPORATE SECURITIES - INFORMATION- ANALYSIS.

1. State the Reasons for purchasing new issue of shares (Choose any three).
   (a) Direct purchase  (b) Par Value  (c) New Company’s Share
   (d) Easily available  (e) Income tax and Wealth tax exemption.

2. In below mentioned listed industries. Give order of preference for investment.
   (a) Engineering Industry  (b) Chemical Industry  (c) Textile Industry
   (d) Cement Industry  (e) Pharmaceutical Industry  (f) Cosmetics
   (g) Others if any specify.

3. Which factor has motivated you most in corporate investment.
   (a) Statue  (b) quick profits  (c) Safety
   (d) long term investment  (e) Regular return  (f) credit rating.
4. Which factor you consider most important while making investment.
   (a) Company’s Directorship   (b) Book value/ EPS
   (c) General performance     (d) Project gestuation Period
   (e) Foreign collaboration   (f) Brokers, Agency force advises, etc.

5. Which factor you consider more for investment.
   (a) Net profit               (b) Earning per share
   (b) Market value of share   (d) Contents of prospectus
   (e) Profitability ratio’s    (f) Company’s New product development

6. Valuation of securities depends upon the following which you will prefer most
   (a) Expecting normal return   (b) Average rate of return
   (b) Uncertainty about the return       (d) Capital Appreciation only
   (e) Comprehensive of all uniform factors.

7. Which of the following variables can be used to the valuation of sequence
   relationship between Economy (Market) Industry and Individual stock.
   (a) Earning per share        (b) Rate of return
   (c) Stock prices            (d) Macro series of employment, income production etc.
   (e) All of these above

8. About 70% of the shares linked in BSE declined in price, on average during
   the recent Bull Market.

   Yes [ ]  No [ ]

9. Purchasing power Risk can be minimised by seeking securities with high
   positive Nominal rates of return.

   Yes [ ]  No [ ]

10. When an Individual Invests he commits his funds, with-an expectation to
    obtain an Adequate return.

    Yes [ ]  No [ ]
11. What type of Newspapers, Journals, magazines more to invest in Corporate securities (tick two A and B).

Newspaper (A)
- a. The Hindu
- b. The Hindu Business Line
- c. The Economics times
- d. The Financial Express
- e. Times of India
- f. The New Indian Express
- g. Any other – Specify

Journals (B)
- a. Chartered Secretary Journal (ICSI)
- b. Management Accountant (ICWAI Journal)
- c. Chartered Accountant Journal (C.A)
- d. Business India
- e. Dalal Street
- f. Taxman – SEBI and Corporate Laws
- g. Any other – specify

12. Do you feel Investor protection fund created by Stock –exchange is necessary?
   Yes [ ] No [ ]

13. Do you feel Investor Grievance cells are necessary?
   Yes [ ] No [ ]

14. About ‘Share transfer and Dividends: Are you aware of dematerialisation and Re- Materialisation process of share transfer?
   Yes [ ] No [ ]

15. Are you getting the Annual Report Properly?
   Yes [ ] No [ ]

16. If there is any Non- Delivery of share certificate recently occurred to you?
   Yes [ ] No [ ]

17. Do you feel that Advertisement misleads you in your Investment proposal?
   Yes [ ] No [ ] None [ ]

18. Do you feel that information furnished in prospectus satisfy your expectation?
   Yes [ ] No [ ] None [ ]
19. Do you feel that the company’s are dispatching dividend – warrants within the stipulated time?

Yes [ ]  No [ ]  None [ ]

20. Kindly make suggestions with reference to investors protection fund and also of further improvement of capital market.

II. MARKET INFORMATION – ANALYSIS

1. Which of the following determines the share price?

   a. P/E ratio [ ]
   b. Bonus Issues [ ]
   c. Dividends [ ]
   d. Right Issues [ ]
   e. None of the above [ ]

2. If the market is said to be efficient in its strongest form, then it should be in

   a. Weak form [ ]
   b. Semi strong form [ ]
   c. Strong form [ ]
   d. Both (a) and (c) above [ ]
   e. None of the above [ ]

3. The Indian capital Market can be said to satisfy the ________ of EMA (efficient Market Hypothesis)

   a. Weak form [ ]
   b. Strong form [ ]
   c. Semi strong form [ ]
   d. Cannot be said [ ]
   e. None of the above [ ]
4. Which of the following is useful in identifying a trend reversal before it actually took place.

   a. Trend line [ ]
   b. Momentum [ ]
   c. Moving Average [ ]
   d. Price patterns [ ]
   e. None of the above [ ]

5. If the price line falls from the neck by a distance equal to the distance between the peak and trough, then the investor should ------- the stock immediately.

   a. Sell immediately [ ]
   b. Buy immediately [ ]
   c. Hold it [ ]
   d. Sell it after the trend is reversed [ ]
   e. Buy it after the trend is reversed [ ]

6. The SEBI Act 1992 has vested in SEBI power to

   a. Regulate the business of all the stock exchanges [ ]
   b. Ensures that the market Intermediates are registered with it [ ]
   c. Regulate mutual funds via its regulations rules 1994 [ ]
   d. Prohibit fraudulent, unfair trade practices and insider dealings [ ]
   e. None of the above. [ ]

7. Which of the following are the uses of stock market indices.

   a. Serve a on indicator of the country’s Economic Health [ ]
   b. Indicate the changes in the trading patterns in the stock [ ]
   c. Help to estimate the related rate of return on the Index [ ]
   d. Give a Measure or growth in the secondary markets [ ]
   e. All of the above. [ ]
8. The method of weighting based on market values is appropriate for indicating changes in

a) Aggregate market value of stocks [ ]
b) Price movements of selected stocks [ ]
c) Price movement of stock belonging to particular industry [ ]
d) Price movement of stocks, whose companies have raised additional capital through rights issue. [ ]
e) None of the above [ ]

9. Which of the following is not a basic assumption underlying technical analysis?

a. Market value is a function of supply and demand mechanism. [ ]
b. The supply and demand mechanism incorporates fundamental Psychological and behavioural aspects of the participants in the Market. [ ]
c. Any changes leads – results of shifts in demand and supply. [ ]
d. Any changes in supply and demand can be disappeared from charts of Market actions on a particular day. [ ]
e. None of the above [ ]

10. Which of the following index is constructed to make adjustments for the dividend payment.

a. BSE sensitive [ ]
b. BSE national Index [ ]
c. RBI Index of Security prices [ ]
d. Economic times of ordinary share price Index [ ]
e. None of the above [ ]

11. The stage during which the analysis and investor look for opportunities to invest in a company is/ are

a. Pioneering stage [ ]
b. Expansion stage [ ]
c. Stabilisation stage [ ]
d. Declining stage [ ]
e. Both (b) and (c) above [ ]
12. Which of the following does not confirm got the idea of fundamental analysis.

a. The intrinsic value usually change from year to year depending upon changes in the factors determining it. [ ]

b. An investor can earn high returns, if he succeeds in identifying a security whose market price is not equal to its intrinsic value and then take appropriate action. [ ]

c. The fundamentalists view investment in the stock as a short term decision. [ ]

d. In this technique, quantitative relationships are established between economic, industrial and company parameter to forecast future streams of earnings by the company or the prospective dividends stream earned by the shareholder. [ ]

e. The analyst usually expresses the intrinsic value as a range. [ ]

IV. TREND OF SHARE PRICE MOVEMENTS

1. Did you have time to watch the share price movements? i.e., trend of stock market.

   a) Yes       b) No       c) to some extent       d) Nil


   a) Cement       b) steel       c) Computer software
   d) Cotton yarns and fabrics       e) Oil       f) cosmetics
   g) pains       h) tea etc.

3. Which mutual fund you feel better on the Basis of NAV”?

   a) UTI-Mf       b) SBI-Mf       c) BOBMF       d) LIC-Mf
   e) GIC-Mf       f) IND-Bank Mf etc.

4. How will you decide your investments proposals either on the basis of sensex Index

   a) Daily basis       b) weekly basis       c) Monthly basis       d) fortnight basis
5. On upward trend index, how do you evaluate the particular scheme?
   a) Data analysis  b) different stock exchange analysis
   c) follow up right issue or bonus issue analysis

6. Whether you review of economics statistics periodically?
   a) Yes  b) No  c) to some extent  d) not interested or Nil.

7. What type of trend generally you will follow and like?
   a) Long term trend  b) short term trend  c) average trend  d) none

8. What type of Approaches you will follow?
   a) fundamental approach  b) technical approach
   c) traditional approach  d) both  e) capital asset pricing model

9. Which type of MIS information you will prefer?
   a) Daily basis  b) weekly basis  c) scrip wise basis
   d) Locker wise basis

10. Perfectly efficient prices reflects all information
    Yes  No

V. TREND – ANALYSIS

1. On uptrend for getting units of UTI what type method you follow?
   a) Getting fresh units from UTI
   b) Getting transfer of units from another individual  c) none

2. On comparison of trend analysis of share price movement what type of method you will follow.
   a) specific industry wise  b) comparison one industry with other
   c) All industry index in general  industry
3. How you will analysis the growth potential?
   a) trend analysis of past share price
   b) valuation of Intrinic value of the company
   c) All industry index in general

4. Do you feel Diversification Reduces Risk
   a) Yes      b) No          c) to some extend

5. Trend of successful portfolio Management depends upon
   a) Return on portfolio   b) The expected average return
   c) Selection of Balanced mix.

VI. UTILISATION OF SYMBOLS

Kindly tick (✓) your choice/Preference on utilisation of the following symbols.,

<table>
<thead>
<tr>
<th>A) Agree</th>
<th>B) Disagree</th>
<th>C) Strongly Agree</th>
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<tr>
<td></td>
<td>DA</td>
<td>SA</td>
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<tr>
<td>D) Strongly Disagree</td>
<td>E) Undecided</td>
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<tr>
<td>SD</td>
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<td>UD</td>
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<tr>
<th></th>
<th>Do you think computerisation of stock exchange has led to transference</th>
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<td>A</td>
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<th></th>
<th>Give your opinion computerisation Resulted in reduction of Brokerage and other costs being change by some brokers.</th>
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<th>Do you think Modified forward Trading system has Increased trading volumes?</th>
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<tr>
<td>3</td>
<td>A</td>
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<tr>
<th></th>
<th>In your opinion, introduction of depositors will correct Bad deliveries Problem.</th>
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<td>4</td>
<td>A</td>
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<th></th>
<th>In your opinion, reduction in settlement period is beneficial to Brokers.</th>
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<td>A</td>
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<th></th>
<th>Do you feel that SEBI, norms are effective in controlling price rigging and Insider trading</th>
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<td>6</td>
<td>A</td>
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<th>Do you feel that capital Adequacy norms will have a negative effect in your business volumes.</th>
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<th></th>
<th>Do you feel that, SEBI’ guidelines are sufficient to readress Investors Grievances.</th>
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<td>8</td>
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<td>Question</td>
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<td>9</td>
<td>Are you agree Mutual fund players have been playing a vital role in the field of finance and capital market?</td>
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<td>10</td>
<td>Are you agree that the UTI has become a major control for the Development of Indian capital market?</td>
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<tr>
<td>11</td>
<td>Do you think ‘CREDIT RATING’ Agencies Role becomes necessary for effective performance of mutual fund?</td>
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<tr>
<td>12</td>
<td>Do you feel that foreign mutual funds are better than Indian mutual funds?</td>
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<td>13</td>
<td>Are you satisfied with Income tax benefits and Wealth tax benefits given by Mfs schemes are quite enough?</td>
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<td>14</td>
<td>In your opinion ‘SEBI’ guidelines are sufficient to protect unit holder of Mutual fund.</td>
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<tr>
<td>15</td>
<td>Do you feel that Mutual fund Rate of Dividend are worth while for your capital investment?</td>
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<td>16</td>
<td>Do you feel that mutual fund schemes are successful in India?</td>
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<tr>
<td>17</td>
<td>Do you feel Institutional investments are necessary for growing of Mutual fund?</td>
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<tr>
<td>18</td>
<td>Do you feel ‘NRI’ investments are necessary for the growth of Mutual fund.</td>
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<tr>
<td>19</td>
<td>Do you feel correct that Re-purchase proceeds based on ‘Net Asset Value’ becomes correct.</td>
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<tr>
<td>20</td>
<td>Do you feel that mutual fund Agency force or Agency Role are necessary for the Development of Mutual fund?</td>
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APPENDIX - II

MINISTRY OF COMPANY AFFAIRS
'A' WING, 5th floor, Shastri Bhawan, New Delhi - 110001.

INVESTOR PROTECTION CELL
Nodal Officers for redressal of investor Grievances in the ministry of company affairs.
For the information of the general public and the investors, the names and particulars of the Nodal officers at the ministry and at
the Regional level dealing with the investor grievances are given below:

<table>
<thead>
<tr>
<th>S. No</th>
<th>Name of office</th>
<th>Name &amp; Address of Nodel officer</th>
<th>Phone/Fax.No</th>
<th>Name &amp; Designation of other members of the Nodal Team</th>
</tr>
</thead>
</table>
E-Mail: ea.dca@sb.nic.in  
Fax: 23382748, 23389088 | Ms. M.N. Samnotra,  
Deputy Secretary  
Phone: 23384502  
Fax: 23384502  
E-Mail: dss.dca@sb.nic.in  
Shri NC Behra, Under Secretary, Ph. 2338 2386  
Shri. VK. Verma, Section officer, Phone 23384479 |
## Northern Region

<table>
<thead>
<tr>
<th>No.</th>
<th>Office/Position</th>
<th>Address/Details</th>
<th>Contact Information</th>
<th>Designation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Regional Director, Ministry of company Affairs</td>
<td>10/499 B Allenganj Kanpur-208001</td>
<td>Fax: 0512-22917691 Phone: 0512-2291782</td>
<td>Shri. V.K. Gupta, Assistant Director (Inspection)</td>
</tr>
<tr>
<td>2</td>
<td>Registrar of companies, J&amp;K Ministry of Company Affairs</td>
<td>Hall Nos. 405 To 408, Bahu Plaza, South Block, Rail Head Complex, Jammu, J&amp; K - 180012</td>
<td>Phone: 0191-2470306 Phone: 0191-2440306 Fax - 191-2470306</td>
<td>Shri. K.M. Shankar Narayan, Junior Technical Assistant</td>
</tr>
<tr>
<td>3</td>
<td>Registrar of companies, Punjab, Chandigarh &amp; Himachal Pradesh</td>
<td>Kothi No. 286, Defence Colony Jallandhar - 144001</td>
<td>Phone: 0181-2223843 Fax: 0181-2223843</td>
<td>Shri. B.R. Verma, Senior Technical Assistant, Shri. J.P. Singh, Junior Technical Assistant</td>
</tr>
<tr>
<td>4</td>
<td>Registrar of companies, Delhi &amp; Haryana</td>
<td>4th Floor, IFCI Tower, 61 Nehru Place, New Delhi - 110019</td>
<td>Phone: 011-26225704 26235705 Fax: 011-26225702</td>
<td>Shri Rakesh Kakkar, Junior Technical Assistant</td>
</tr>
<tr>
<td>5</td>
<td>Registrar of companies, UP Ministry of Company Affairs</td>
<td>37/17 Westcott Building, The Mall, Kanpur- 208001</td>
<td>Phone: 0512-2352304 2362711 Fax: 0512-2360656</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Registrar of companies, Rajasthan Ministry of company Affairs</td>
<td>132, Vijay Nagar, Kartarpura Near Kartarpura Railway Crossing, Jaipur - 302008</td>
<td>Phone: 0141-2500564 2500565 Fax: 0141-2500564</td>
<td>Shri. S.C. Gupta, Junior Technical Assistant, Shri. Hira Ballabh, Junior Technical/Assistant Ms. Vandana meena, LDC</td>
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<tr>
<td></td>
<td>Western Region</td>
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<tr>
<td>1</td>
<td>Regional Director, Ministry of Company Affairs Everest 5th Floor, 100 Marine Drive Mumbai - 400002.</td>
<td>Shri SP Kamble, Deputy Director (Inspection) Everest, 5th floor, 100 Marine Drive, Mumbai - 400002</td>
<td>Phone-022-22817259 22811493-Extn - 304</td>
<td>Shri PS. Atre, Junior Technical Asst., Shri Ss. Saramble, LDC</td>
</tr>
<tr>
<td>2</td>
<td>Registrar of Companies, maharashtra Ministry of Company Affairs Everest 5th Floor, 100 Marine Drive Mumbai - 400002.</td>
<td>Shri AS. Singh, Assistant Registrar of companies Everest, 5th floor, 100 Marine Drive, Mumbai - 400002</td>
<td>Phone-022-22812639 23782497, 22812627 Fax 022-22811977</td>
<td>Shri R.B.Ghaisas, J.T.A, mrs. S.S Samant, J.T.A Smt. PH.Tolani, J.T.A Shri RV. Gawade, LDC, Mrs. A.V. Fodkar, LDC</td>
</tr>
<tr>
<td>3</td>
<td>Registrar of Companies, maharashtra Ministry of Company Affairs Registrar of companies, Pune PMT Building, 3rd floor, Deccan Gymkhana, Pune - 411 004</td>
<td>Shri S.M. Saindane, Assistant Registrar of companies, Registrar of companies, Pune PMT Building, 3rd floor, Deccan Gymkhana, Pune-411004</td>
<td>Phone-020-25530042 Fax 079-27438531</td>
<td>Shri V.A. Mahadeshrwar, Senior Technical/ Assistant Shri MM Musale, Junior Technical Assistant</td>
</tr>
<tr>
<td>6</td>
<td>Registrar of companies, Ministry of company affairs EDC Complex, Plot.No.21, Patto Panaji, Goa- 403 001.</td>
<td>Shri G.K. Parwar, Registrar of Companies EDC, Complex, Plot No.21, Patto Panaji, Goa- 403001</td>
<td>Phone-032-2438617 Fax-0832-2242180 2438617</td>
<td>Shri P. Sridharan, Junior Technical Assistant.</td>
</tr>
<tr>
<td></td>
<td>Eastern Region</td>
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</tr>
</tbody>
</table>
| 1 | Regional officer, Ministry of Company Affairs, Nizam Palace, 2nd MSO building, 3rd floor, 234/4, A.J.C. Bose Road, Calcutta-700020. | Shri. Ujjwal Roy, Joint Director(Legal)  
Nizam Palace, 2nd MSO Building 3rd Floor, 234/4 A.J.C Building Road, calcutta – 700020. | Phone – 033-22470382  
22473156  
Fax-033-22470958 | Shri Chandan Das, Senior Technical Assistant |
| 2 | Registrar of companies,  
Ministry of company affairs  
Nizam Palace,  
2nd MSO building, 3rd floor, 234/4, A.J.C. Bose Road, Calcutta-700020. | Shri. Naubat Singh, Deputy Registrar of Companies, Nizam Palace,  
2nd MSO building, 3rd floor, 234/4, A.J.C. Bose Road, Calcutta-700020. | phone-033-228000409  
22473156  
Fax:0323-22473795 | Shri. Suryanarayanan, Senior Technical Assistant, Shri.  
G.C. Nath J.T.A., Shri S. Sengupta, J.T.A  
Shri. A.N. Sankar J.T.A, Smt.  
Sova Das, J.T.A, Smt. Dipu Mukherjee, J.T.A. |
| 3 | Registrar of companies,  
Ministry of company affairs  
2nd floor, Chalchitra Bhawan, Buxi Bazar, Calcutta-753001. | Shri. D.K. Singh, Asst. Registrar of Companies, 2nd floor, Chalchitra Bhawan, Buxi Bazar, Calcutta-753001 | phone- 0671-2305361  
2306958  
Fax:0671-2305361 | Shri. Sukumar Chakraborty, Senior Technical Assistant. |
| 4 | Registrar of companies, Bihar& Jharkand.  
Ministry of company affairs, Maurya Lok Complex Block A Western wing 4th floor, Dak Banglow Road, Patna- 800001. | Shri. J.K. Jolly, Registrar of companies,  
Maurya Lok complex, Block A Western wing 4th floor, Dak Banglow Road, Patna- 800001. | Phone-0612-2222172  
Fax:0612-2222172 | Shri. Aparaj Barua, Senior Technical Assistant, Shir.  
Irkan Tirkey, Junior Tech. Asst. |
| 5 | Registrar of companies, Assam  
Ministry of Company affairs  
Morello Building, Ground floor, Shilong – 793 001. | Shri. D.Bandopadhyay,  
Registrar of companies,  
Ministry of Company affairs  
Morello Building, Ground floor, Shilong – 793 001. | Phone 0364-2223665  
Fax:0364-2211091 | Shri. P.S. Syiem, Senior Technical Assistant. |
<table>
<thead>
<tr>
<th>Southern Region</th>
<th>D. Regional Directory/Ministry of Company Affairs, 5th floor, Shastri Bhawan, 26/1 Haddows Road, Chennai - 600006</th>
</tr>
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<tbody>
<tr>
<td>1.</td>
<td>Shri. S. Ramakrishnan, Joint Director/ proprietor, Ministry of Company Affairs, 1st floor, 5th floor, Shastri Bhawan, 26/1 Haddows Road, Chennai - 600006</td>
</tr>
<tr>
<td>2.</td>
<td>Shri. V. V. Prakash, Deputy Registrar/ Assistant, Ministry of Company Affairs, 2nd floor, 2nd floor, Shastri Bhawan, 26/1 Haddows Road, Chennai - 600006</td>
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<td>3.</td>
<td>Shri. V. V. Prakash, Assistant/Assistant, Ministry of Company Affairs, 3rd floor, 3rd floor, Shastri Bhawan, 26/1 Haddows Road, Chennai - 600006</td>
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<td>4.</td>
<td>Shri. V. V. Prakash, Assistant/Assistant, Ministry of Company Affairs, 4th floor, 4th floor, Shastri Bhawan, 26/1 Haddows Road, Chennai - 600006</td>
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<td>5.</td>
<td>Shri. V. V. Prakash, Assistant/Assistant, Ministry of Company Affairs, 5th floor, 5th floor, Shastri Bhawan, 26/1 Haddows Road, Chennai - 600006</td>
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<tr>
<td></td>
<td>Registrar of Companies, TN (Chennai) Ministry of Company Affairs, Block no. 6, B wing 2nd floor, Shastri Bhawan, 26 Haddows Road, Chennai – 641005.</td>
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<td>7.</td>
<td>Registrar of Companies, Pondicherry, Ministry of Company Affairs, No.35, 1st floor, Elango Nagar, Pondicherry- 605011.</td>
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</tbody>
</table>

The investors may take up their grievance with the concerned Nodal Officers at the Regional Level. If any investor has any grievances which are yet to be redressed even after lapse of a responsible time, the same may be brought to the notice of the Nodal officer at the ministry level.

**CONTACT**

Web Site – Ministry of Company Affairs – [http://dca.nic.in](http://dca.nic.in)

Ms. Mukta nidhi Samnotra, Ministry of Company Affairs Deputy Secretary, Investor protection cell ‘A’ wing, Shastri Bhawan, New Delhi - 110 001 Phone No: 2338 4502

Shri. Paul Joseph Economic Adviser, Ministry of company affairs ‘A’ wing, Shastri Bhawan, New Delhi - 110 001 Phone No: 2338 5010
<table>
<thead>
<tr>
<th>S.No</th>
<th>Region</th>
<th>Name</th>
<th>Address &amp; Tel. Nos</th>
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<tbody>
<tr>
<td>2.</td>
<td>Andhra Pradesh</td>
<td>Sh. N.S. Pannunambi</td>
<td>3-5-398, 11nd Floor, Kendriya Sadan, Sultan Bazar, Kati, Hyderabad – 500 195 Ph. No. 24657937, Fax No. 2465807</td>
</tr>
<tr>
<td>3.</td>
<td>Assam, Tripura, Manipur, Nagaland, Meghalaya, Arunachal Pradesh, Shillong</td>
<td>Sh. D. Bandopadhyaya</td>
<td>Morello Building, Ground Floor, Kachari Road, Shillong – 793001 Ph. No. 223665</td>
</tr>
<tr>
<td>4.</td>
<td>Bihar</td>
<td>Sh. J. K. Jolley</td>
<td>Mourya Lok Complex, 4th Floor, 'A' Block Dainabhat Road, Patna – 800 001</td>
</tr>
<tr>
<td>5.</td>
<td>Coimbatore</td>
<td>Sh. V. Selvaraj</td>
<td>Coimbatore Stock Exchange Building, 2nd floor, 683, Trichi Road, Singanallar, Coimbatore – 641 005 Ph. No. 2318170, 2819640 Fax No. 0422-2324012</td>
</tr>
<tr>
<td>6.</td>
<td>Delhi &amp; Haryana</td>
<td>Dr. Navrang Saini</td>
<td>B-Block, Paryavares Bhavan, CGO Complex, Loti Road, New Delhi – 110003 Ph. No. 24362708, 24362771 4th floor, IFCI Tower, 41, Nehru Place, New Delhi – 19 Ph. No. 26235704, 26235705 Fax No. 26235702</td>
</tr>
<tr>
<td>9.</td>
<td>Karnataka</td>
<td>Sh. B.M. Anand</td>
<td>'E' Wing, 1111 floor, Kaendriya Sadan, Karamangala, Bangalore- 34 Ph. No. 25537449, 25633104, 25633105 Fax. No. 080 25528531</td>
</tr>
<tr>
<td>No.</td>
<td>State</td>
<td>Name</td>
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<td>10</td>
<td>Kerala</td>
<td>Sh. T. Arnath</td>
<td>M.G.Road, Ernakulam, Cochin – 682011</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>Ph. No.2355231</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>Fax. No. 2361424</td>
</tr>
<tr>
<td>11</td>
<td>Madhya Pradesh</td>
<td>Dr. Raj Singh</td>
<td>A-Block, Sanjay Complex, 3rd Floor, Jayendra Gani, Gwalior – 474 009</td>
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<tr>
<td></td>
<td></td>
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<td>Ph.No. 2331853</td>
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<td></td>
<td></td>
<td></td>
<td>Fax.No. 2321907</td>
</tr>
<tr>
<td>12</td>
<td>Maharashtra</td>
<td>Sh. V.A.N. Menon</td>
<td>Everest, 100 Marine Drive, Mumbai – 400 002</td>
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<tr>
<td></td>
<td></td>
<td></td>
<td>Ph. No. 2212639</td>
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<td></td>
<td>Fax.No. 022-2811977</td>
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<td>13</td>
<td>Orissa</td>
<td>Sh. B. Mohanty</td>
<td>Chalachitra Bhawan, 2nd Floor, Buxi Bazar, Cuttack – 753 001</td>
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<tr>
<td></td>
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<td>Ph.No. 2306958</td>
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<td></td>
<td>Fax.No. 0671-2305361</td>
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<td>14</td>
<td>Puducherry</td>
<td>Sh. M. Kannan</td>
<td>35, Elango III Cross Nagar, 2nd Floor,Puducherry – 605 011</td>
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<td></td>
<td></td>
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<td>Ph.No. 0413 – 240129, 236129</td>
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<td>15</td>
<td>Pune</td>
<td>Sh. R.V.Dani</td>
<td>PMT Bldg., Pune Stock Exchange, 3rd Floor, Deccan, Gymkhana, Pune – 411 004</td>
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<td></td>
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<td>Ph.No. 020-5530042</td>
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<tr>
<td>16</td>
<td>Punjab &amp; Chandigarh</td>
<td>Sh. Diwan Chand</td>
<td>Kothi No.286, Defence Colony, Jalandhar, Ph.No. 2223843</td>
</tr>
<tr>
<td>17</td>
<td>Rajasthan</td>
<td>Sh. V.K. Khubehandani</td>
<td>132, Vijay Nagar, Kartarpura, Near Kartarpura Railway Station, Jaipur – 302 008</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Ph. No. 2360492, 2366272, 2500564</td>
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<td>18</td>
<td>Tamil Nadu</td>
<td>Sh. K. Pandian</td>
<td>Shastri Bhawan, IInd Floor, 26, Haddows Road, Chennai – 600 006</td>
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<td></td>
<td></td>
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<td>Fax.No. 04-28234298</td>
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<td>19</td>
<td>U.P.</td>
<td>Sh. Harfal</td>
<td>Westcott, Bldg., The Mall, Kanpur – 208 001</td>
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<td>Fax.No 2360656</td>
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<tr>
<td>20</td>
<td>West Bengal</td>
<td>Sh. T.P. Shami</td>
<td>Nizam Palace, II M.S.O. Bldg., 2nd Floor, 234/4, Acharya Jagdish Chandra Bose Rd., Kolkata – 700 020</td>
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<tr>
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<td></td>
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<td>Ph.No. 033-22473156, 033-22473404</td>
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<td>Fax.No 033-22473795</td>
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APPENDIX - IV

LIST OF INVESTORS ASSOCIATIONS REGISTERED WITH SEBI

<table>
<thead>
<tr>
<th>No.</th>
<th>Organization</th>
<th>Address</th>
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<tbody>
<tr>
<td>1.</td>
<td>Investor Guidance Society, ‘Tarang’, Plot 224, Sion (East), Mumbai – 400 002</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Lokmanya Sewa Sangh Ramkanth Mandir Road</td>
<td>Mumbai 400 057</td>
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<tr>
<td>3.</td>
<td>Consumer Education and Research Centre, Thakorebhai Desair Hall New Law College, Ellis Bridge, Ahmedabad -380 006</td>
<td></td>
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<tr>
<td>4.</td>
<td>The Gujrarath Investors &amp; Shareholders Association, 203, Samrat Building, Smuritikunj, Ahmedabad - 380 009</td>
<td></td>
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<td>5.</td>
<td>Jagrut Grahak Sardar, Chatralaya Kareilbaug Vadodara</td>
<td></td>
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<tr>
<td>6.</td>
<td>Overseas Indian Economic Forum, P.O. Box No. 4421, Dubai, UAE</td>
<td></td>
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<tr>
<td>7.</td>
<td>Vizag Investors Association Faculty Club, Andhra University, Visakhapatnam – 530 003</td>
<td></td>
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<td>8.</td>
<td>Tamil Nadu Investors, 16, Lakshmanan Street, Mahalingapuram, Chennai – 600 034</td>
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<td>9.</td>
<td>All Body Corporate Shareholders’ Forum 120-Aniaian, Nehru Nagar (E), Secunderabad – 500 026</td>
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<td>11.</td>
<td>Mumbai Grahak Panchayat, Grahak Bhawan, Sant Dyaneshwar Road, JVPD Scheme, Vile Parle, Mumbai – 400 056</td>
<td></td>
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<td>12.</td>
<td>Kovai Investors’ Association, 15, Karuppa Goundar Street, Coimbatore – 641 001</td>
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