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1 However, investors don’t find this move to be sufficient. That is why many investor representatives have approached SEBI, saying that only separate accounts won’t help and that they should be able to see transactions in their accounts. Lots of complaints have also come from NRI investors. In view of these complaints, SEBI is now contemplating linking investor accounts in PMS to depositories, so that PMS investors can see transactions taking place in their accounts run by portfolio managers.

2 IPO grading is a relative assessment of the fundamentals of the company comprising primarily the management quality, business prospects, corporate governance, financial performance and compliance track record. However, it is not a comment on the pricing of the equity. Thus a company with the highest grade could turn out to be a poor investment if the issue is overpriced. The investor could in fact, become all the more vulnerable if he were to blindly go by grades and hence the need to caution him on the constraints of the grading exercise.

3 "Insider" means any person who, is/was connected/deemed to have been connected with the company, and who is reasonably expected to have access to unpublished price sensitive information in respect of securities of the company, or has received or has had access to such unpublished price sensitive information.

4 "Price sensitive information" has been defined to mean any information which is directly or indirectly related to a company and which if published is likely to materially affect the price of securities of company.