

CHAPTER - II

SEMRINGS OF FORMAL POWER SERIES AND LOCAL SEMIRINGS

In this chepter semirings of formal power series over semirings, in particular, over k-semifields are studied. Some properties of local semirings are also studied. It is shown that the semiring of formal power series over a k-semifield becomes a local semiring. Moreover the Jacobson radical of formal power series over a k-semifield is described.

1. Semirings of formal power series.

In this section we only consider semirings in which addition is commutative. Let S be a semiring with absorbing zero 0 and identity 1 and x an indeterminate. Let S  $[[x]]$  denote the set of all expressions of the form

$$f = \sum_{i=0}^{\infty} a_i x^i, a_i \in S.$$

If  $g = \sum_{i=0}^{\infty} b_i x^i$  is also an element of S  $[[x]]$ ,

we define addition and multiplication in S  $[[x]]$  as follows:

$$f + g = \sum_{i=0}^{\infty} (a_i + b_i) x^i \text{ and } fg = \sum_{i=0}^{\infty} d_i x^i, \text{ where}$$

$$d_i = \sum_{r+s=i} a_r b_s, i = 0, 1, 2, \dots$$

Then S  $[[x]]$  is a semiring which is called the semiring of formal power series (in the indeterminate x) over S. We say that  $f = g$  iff  $a_i = b_i$  for all non-negative integers i,  $a_0$  is called the constant term of f.

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If  $a_i = 0$  for  $i = 0, 1, 2, \dots, r-1$  but  $a_r \neq 0$ , then  $f$  is said to be of order  $r$ .

Following usual convention, the Co-efficients not indicated are understood to be zero, the elements of  $S$  themselves may be considered to be elements of  $S[[x]]$ . That is,  $S[[x]]$  contains the semiring  $S$  as a subsemiring. In particular  $1$  is also identity and  $0$  is also absorbing zero of  $S[[x]]$ . Moreover, for example, if  $n$  is a positive integer, we may consider  $x^n$  to be an element of  $S[[x]]$ . We interpret it to be the formal power series with  $x^n$  having coefficient  $1$  and all other co-efficients being  $0$ .

Theorem 1.1. If  $S$  is a  $k$ -division semiring, then  $S[[x]]$  has no zero divisors.

Proof. : Let  $f = \sum_{i=0}^{\infty} a_i x^i$  and  $g = \sum_{i=0}^{\infty} b_i x^i$  be two non-zero elements of  $S[[x]]$ . Let  $r$  be the smallest index such that  $a_r \neq 0$  and  $t$  the smallest index such that  $b_t \neq 0$ . Since  $S$  is a  $k$ -division semiring, then by using the proposition 5.8. of chapter I, we find that  $a_r b_t \neq 0$ .

Now  $fg = \sum_{n=0}^{\infty} d_n x^n$  where  $d_n = \sum_{i=0}^n a_{n-i} b_i$ . Then

$d_0 = d_1 = \dots = d_{r+t-1} = 0$  and  $d_{r+t} = a_r b_t \neq 0$ .

This shows that  $S[[x]]$  has no zero divisors.

Theorem 1.2. An element  $f = \sum_{i=0}^{\infty} a_i x^i$  of  $S[[x]]$

is semi-invertible in  $S[[x]]$  iff  $a_0$  is semi-invertible in  $S$ .

Proof. Suppose  $f$  is semi-invertible in  $S[[x]]$ . Then there exist  $g, h \in S[[x]]$  such that  $1 + fg = fh$  and  $1 + gf = hf$  by corollary 5.3 of chapter I.

Suppose  $g = \sum_{i=0}^{\infty} b_i x^i$  and  $h = \sum_{i=0}^{\infty} c_i x^i$ . Then it follows that

$1 + a_0 b_0 = a_0 c_0$  and  $1 + b_0 a_0 = c_0 a_0$ . This shows that  $a_0$  is semi-invertible in  $S$ .

Conversely, suppose  $a_0$  is semi-invertible in  $S$ . Then there exist  $b_0, c_0 \in S$  such that

$1 + a_0 b_0 = a_0 c_0$  and  $1 + b_0 a_0 = c_0 a_0$ . We now look for

$g = \sum_{i=0}^{\infty} b_i x^i$  and  $h = \sum_{i=0}^{\infty} c_i x^i$  such that

$1 + fg = fh$  and  $1 + gf = hf$ .

Now  $a_1 + a_0 b_0 a_1 = a_0 c_0 a_1$ . Then  $a_1 b_0 + a_0 b_0 a_1 b_0$

$= a_0 c_0 a_1 b_0$  and  $a_1 c_0 + a_0 b_0 a_1 c_0 = a_0 c_0 a_1 c_0$ .

Hence  $a_1 b_0 + a_0 b_0 a_1 b_0 + a_0 c_0 a_1 c_0 = a_1 c_0 + a_0 b_0 a_1 c_0 + a_0 c_0 a_1 b_0$ .

Consequently,

$a_0 (b_0 a_1 b_0 + c_0 a_1 c_0) + a_1 b_0 = a_0 (b_0 a_1 c_0 + c_0 a_1 b_0) + a_1 c_0$ .

Hence there exist  $b_1 = b_0 a_1 b_0 + c_0 a_1 c_0$  and  $c_1 = b_0 a_1 c_0 + c_0 a_1 b_0$

$\in S$  such that  $a_0 b_1 + a_1 b_0 = a_0 c_1 + a_1 c_0$ .

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We now prove the theorem by induction.

Suppose there exist  $b_1, b_2, \dots, b_m$ ;  $c_1, c_2, \dots, c_m$  in  $S$  such that

$$a_0 b_m + a_1 b_{m-1} + \dots + a_m b_0 = a_0 c_m + a_1 c_{m-1} + \dots + a_m c_0.$$

Now  $1 + a_0 b_0 = a_0 c_0$  implies

$$a_n + a_0 b_0 a_n = a_0 c_0 a_n, \text{ for } n = 1, 2, 3, \dots$$

Hence  $a_n b_t + a_0 b_0 a_n b_t = a_0 c_0 a_n b_t$  and

$$a_n c_t + a_0 b_0 a_n c_t = a_0 c_0 a_n c_t, \text{ for } t = 0, 1, 2, \dots, m.$$

Consequently,

$$a_n b_t + a_0 b_0 a_n b_t + a_0 c_0 a_n c_t = a_n c_t + a_0 b_0 a_n c_t + a_0 c_0 a_n b_t.$$

Thus taking  $n = m+1, m, \dots, 1$ ;  $t = 0, 1, 2, \dots, m$  we have

$$a_{m+1} b_0 + a_0 b_0 a_{m+1} b_0 + a_0 c_0 a_{m+1} c_0 = a_{m+1} c_0 + a_0 b_0 a_{m+1} c_0 + a_0 c_0 a_{m+1} b_0.$$

$$a_m b_1 + a_0 b_0 a_m b_1 + a_0 c_0 a_m c_1 = a_m c_1 + a_0 b_0 a_m c_1 + a_0 c_0 a_m b_1.$$

$$a_1 b_m + a_0 b_0 a_1 b_m + a_0 c_0 a_1 c_m = a_1 c_m + a_0 b_0 a_1 c_m + a_0 c_0 a_1 b_m.$$

Hence  $a_{m+1} b_0 + a_m b_1 + \dots + a_1 b_m + a_0 (b_0 a_{m+1} b_0 + b_0 a_m b_1 + \dots +$

$$b_0 a_1 b_m + c_0 a_{m+1} c_0 + c_0 a_m c_1 + \dots + c_0 a_1 c_m)$$

$$= a_{m+1} c_0 + a_m c_1 + \dots + a_1 c_m + a_0 (b_0 a_{m+1} c_0 + b_0 a_m c_1 + \dots +$$

$$b_0 a_1 c_m + c_0 a_{m+1} b_0 + c_0 a_m b_1 + \dots + c_0 a_1 b_m).$$

Consequently, there exist  $b_{m+1}, c_{m+1} \in S$  such that

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$$a_0 b_{m+1} + a_1 b_m + \dots + a_m b_1 + a_{m+1} b_0 = a_0 c_{m+1} + a_1 c_m + \dots + a_m c_1 + a_{m+1} c_0.$$

Thus we find  $g, h \in S[[x]]$  such that  $1 + fg = fh$ .

We can also show that  $1 + gf = hf$ .

As a result,  $f$  is semi-invertible in  $S[[x]]$ .

Corollary 1.3. If  $S$  is a  $k$ -division semiring, then the semi-invertible elements of  $S[[x]]$  are precisely those formal power series with non-zero constant terms and every non-zero element of  $S[[x]]$  of order  $r$  is of the form  $x^r u$  such that  $u \in S[[x]]$  is semi-invertible in  $S[[x]]$ .

Proof. The first statement follows from theorem 1.2.

For the second statement let  $f$  be a non-zero element of order  $r$  in  $S[[x]]$ .

Suppose  $f = \sum_{i=r}^{\infty} a_i x^i$  where  $a_r \neq 0$ . Then  $f = x^r (a_r + a_{r+1} x +$

$$+ a_{r+2} x^2 + \dots + \dots) = x^r u \text{ where } u = a_r + a_{r+1} x + a_{r+2} x^2 +$$

$\dots \in S[[x]]$  and as  $a_r \neq 0$ ,  $u$  is semi-invertible in  $S[[x]]$  by theorem 1.2.

Definition 1.4. A  $k$ -ideal  $A$  of a semiring  $S$  is said to be principal iff

$A$  is generated by an element  $a \in S$  i.e.  $A = \langle a \rangle_k$  for some  $a \in S$ ,

where  $\langle a \rangle_k = \{x \in S : x + s_1 a = s_2 a, s_1 \in S\}$ .

Theorem 1.5. If  $S$  is a  $k$ -division semiring, then every  $k$ -ideal of

$S[[x]]$  is principal.

Proof. Let  $A$  be a  $k$ -ideal of  $R = S[[x]]$ . Suppose  $A \neq \{0\}$ . Then  $A$  contains

some non-zero element. Let  $P = \{n : n \text{ is the order of some } f \in A\}$ . Then  $P$  is a collection of some positive integers. By well ordering principle,  $P$  has at least one element. Let  $r$  be the least element of  $P$ . Then there exists some  $f \in A$  such that order of  $f$  denoted by  $O(f)$  is  $r$ . Then we can write  $f = x^r u$  for some  $u \in R$  such that  $u$  is semi-invertible in  $R$ . Then there exist  $h_1, h_2 \in R$  such that  $1 + uh_1 = uh_2$ . Hence  $x^r + x^r uh_1 = x^r uh_2$ . This implies  $x^r + fh_1 = fh_2$ . As  $A$  is a  $k$ -ideal and  $f \in A$ , it follows that  $x^r \in A$ . Let  $g$  be a non-zero element of  $A$ . Now  $O(g) \geq r$ . Let  $O(g) = r + t$  ( $t \geq 0$ ). So we can write  $g = x^r g_1$  for some  $g_1 \in R$ . Hence it follows that each  $k$ -ideal  $A = \langle x^r \rangle_k$  for some positive integer  $r$  (depending on  $A$ ).

## 2. Local semirings:

While considering  $k$ -ideals of a semiring  $S$ , it is natural to consider conditions such that  $S$  has the unique maximal  $k$ -ideal.

In this section  $S$  denotes a commutative semiring with absorbing zero  $0$  and identity  $1$  and  $M$  denotes the set of all non semi-invertible elements of  $S$  (including  $0$ )

Theorem 2.1. The set  $M$  is precisely the union of all proper  $k$ -ideals of  $S$  which in turn is also the union of all maximal  $k$ -ideals of  $S$ .

Proof: Let  $P$  be a proper  $k$ -ideal of  $S$ . Then  $a \in P$  implies  $a \in M$ .

Otherwise,  $a \notin M$  would imply  $1 + ra = sa$  for suitable  $r, s \in S$ ,

yielding  $1 \in P$ , as  $P$  is a  $k$ -ideal and  $ra, sa \in P$ . This shows that  $P = S$ , a contradiction. As a result  $P \subseteq M$  and hence  $\bigcup P_i \subseteq M$ , where  $\{P_i, i \in I\}$  is

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the collection of all proper  $k$ -ideals of  $S$ . On the otherhand, if  $0 \neq x \in M$ ,  $1 + rx = sx$  holds for no  $r, s \in S$ . Hence  $1 \notin \overline{Sx}$  implies  $\overline{Sx}$  is a proper  $k$ -ideal of  $S$ . As a result  $x \in \overline{Sx} \subseteq P_i$  for some  $i \in I$ . Hence  $M = \bigcup_i P_i$ .

The second part is immediate by corollary 2.7 of chapter I.

**Theorem 2.2.** If  $M$  is a  $k$ -ideal of  $S$ , then  $M$  is the unique maximal  $k$ -ideal of  $S$ .

**Proof.** Let  $M$  be a  $k$ -ideal of  $S$ . Then  $M$  is an absorbing zero of the semiring  $S/M$  defined by the Bourne congruence  $\rho_A$  on  $S$ . Suppose  $a \rho_A \notin M$ . Then  $a \notin M$ . Hence there exist suitable  $s_1, s_2 \in S$  such that

$$1 + s_1 a = s_2 a. \text{ Then}$$

$1 \rho_M + (s_1 \rho_M) (a \rho_M) = (s_2 \rho_M) (a \rho_M)$  yields that  $S/M$  satisfies condition (C) (of definition 2.8 of Chapter I). Hence  $M$  is a maximal  $k$ -ideal of  $S$  by theorem 2.13 of chapter I.

To show the uniqueness of the maximal  $k$ -ideal, let  $A$  be a proper  $k$ -ideal of  $S$  which is maximal. Then by using theorem 2.13 of chapter - I we find that  $S/A$  satisfies the condition (C). As  $A \neq S$ , there is no element  $0 \neq a \in A$  satisfying  $1 + ra = sa$  for some  $r, s \in S$ . Otherwise we would reach a contradiction. Then clearly every element of  $A$  is non semi-invertible in  $S$ . Hence  $A \subseteq M$ . As  $A$  and  $M$  are both maximal  $k$ -ideals of  $S$ ,  $A = M$ .

**Definition 2.3.** A commutative semiring  $S$  with absorbing zero  $0$  and identity  $1$  is said to be a local semiring iff  $S$  has unique maximal  $k$ -ideal.

Clearly, every  $k$ -semifield is a local semiring by corollary 5.11 of Chapter-I.

**Theorem 2.4.** If  $S$  is a commutative semiring with absorbing zero  $0$  and

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identity 1, then the following conditions are equivalent.

- (i)  $S$  is a local semiring.
- (ii)  $M$  is a  $k$ -ideal of  $S$ .

Proof (i)  $\implies$  (ii) Let  $S$  be a local semiring with the maximal  $k$ -ideal  $A$ .

For  $x \in M$ ,  $x$  is not semi-invertible in  $S$ . Hence  $\overline{Sx}$  is a proper  $k$ -ideal of  $S$ . Now by Corollary 2.7 of Chapter I,  $\overline{Sx}$  is contained in a maximal  $k$ -ideal of  $S$ . But (i) implies that this maximal  $k$ -ideal is  $A$ . Hence  $\overline{Sx} \subseteq A$ .

As a result,  $x \in A$ . This shows that  $M \subseteq A$ . But by theorem 2.1,  $A \subseteq M$ .

Hence  $M = A$ .

This shows that  $M$  is the maximal  $k$ -ideal of  $S$ .

(ii)  $\implies$  (i) by theorem 2.2.

Corollary 2.5. If  $S$  is a local semiring with  $M$  its  $k$ -ideal of non semi-invertible elements of  $S$ , then  $S/M$  is a  $k$ -semifield.

Proof. As  $S$  is a local semiring,  $M$  is the maximal  $k$ -ideal of  $S$  by theorem 2.4.

Clearly,  $M$  is a proper  $k$ -ideal of  $S$ . Hence by theorem 2.13 of chapter I,  $S/M$  satisfies the condition (C). Consequently,  $S/M$  is a  $k$ -semifield by using theorem 2.12 of chapter I and Corollary 5.11 of Chapter - I

Theorem 2.6. If  $S$  is a  $k$ -semifield, then the semiring of formal power series  $S[[x]]$  over  $S$  is a local semiring.

Proof. Since  $S$  is a commutative semiring with 0 and 1, we find that

$S[[x]]$  is also a commutative semiring with 0 and 1. Now  $x \in S[[x]]$ .

Suppose  $R = S[[x]]$ . Consider the  $k$ -ideal  $\overline{Rx}$ . We claim that  $\overline{Rx}$  is the unique



maximal  $k$ -ideal of  $S[[x]]$ . From theorem 1.2 it follows that a formal power series  $f \in S[[x]]$  is non semi-invertible in  $S[[x]]$  iff the constant term of  $f$  is 0. Hence  $f \in \overline{Kx}$  iff  $f$  is non semi-invertible in  $S[[x]]$ .

Consequently,  $\overline{Kx}$  is the  $k$ -ideal of all non semi-invertible elements of  $S[[x]]$ . Then by using theorem 2.4 it follows that  $S[[x]]$  is local semiring.

Theorem 2.7. A commutative semiring  $S$  with 0 and 1 is a local semiring iff for  $a, b, r, s \in S$ ,  $1 + a + r = b + s$  implies one of  $a, r, b, s$  is semi-invertible in  $S$ .

Proof. Suppose  $S$  is a local semiring and let  $A$  be the unique maximal  $k$ -ideal of  $S$ . Suppose  $1 + a + r = b + s$  for some  $a, b, r, s \in S$ . If all of  $a, b, r, s$  are non semi-invertible elements of  $S$ , then  $a, r, b, s \in A$  as  $A$  contains all non semi-invertible elements of  $S$ . Hence  $a + r, b + s \in A$ . As  $A$  is a  $k$ -ideal,  $1 \in A$  and hence  $A = S$ , a contradiction.

Conversely, assume that the given condition holds in  $S$ . We claim that  $S$  is a local semiring. If  $a$  is not semi-invertible in  $S$ , then  $\overline{Sa}$  is a proper  $k$ -ideal of  $S$ . Hence by corollary 2.7 of Chapter I,  $\overline{Sa}$  is contained in a maximal  $k$ -ideal  $A$  (say) of  $S$ . We claim that  $A$  contains all non semi-invertible elements of  $S$ . Suppose  $x$  is a non semi-invertible element of  $S$  such that  $x \notin A$ . Then  $(A, x) = S$  by the maximality of the  $k$ -ideal  $A$  of  $S$ . This implies  $1 + a_1 + r_1x = a_2 + r_2x$  for some  $r_1 \in S, a_1 \in A$ . Since  $a_1, a_2 \in A$ , they cannot be semi-invertible in  $S$ . Hence by hypothesis, either  $r_1x$  or  $r_2x$  is semi-invertible in  $S$ . If  $r_1x$  is semi-invertible in  $S$ , then  $1 + t_1 r_1x = t_2 r_1x$  for some  $t_1, t_2 \in S$ . This shows that  $x$  is also

semi-invertible in  $S$ . Similarly, if  $r_2x$  is semi-invertible in  $S$ ,  $x$  is also semi-invertible in  $S$ . But this is a contradiction. Hence  $A$  consists of all non semi-invertible elements of  $S$ . As a result  $S$  is a local semiring by theorem 2.4.

Theorem 2.8. Let  $S$  be a commutative semiring with 0 and 1. If  $S$  is a local semiring, then  $S[[x]]$  is also a local semiring.

$$\text{Proof: Let } f = \sum_{i=0}^{\infty} a_i x^i, \quad g = \sum_{i=0}^{\infty} b_i x^i,$$

$$h = \sum_{i=0}^{\infty} c_i x^i \text{ and } t = \sum_{i=0}^{\infty} d_i x^i \in S[[x]]$$

such that  $1 + f + g = h + t$ . Then

$1 + a_0 + b_0 = c_0 + d_0$ . Hence by theorem 2.7 it follows that one of  $a_0, b_0, c_0, d_0$  is semi-invertible in  $S$ . Then theorem 1.2 implies that one of  $f, g, h, t$  is semi-invertible in  $S[[x]]$ . Moreover  $S[[x]]$  is a commutative semiring with 0 and 1. Hence theorem 2.7 again implies that  $S[[x]]$  is a local semiring.

Remarks 2.9. As every  $k$ -semifield is a local semiring, the theorem 2.6 also follows from theorem 2.8.

The Jacobson radical of a hemiring was introduced by Bourne [8] and was subsequently studied by Bourne and Zassenhaus [10], Iisuka [20].

Definition 2.10. Let  $S$  be a commutative semiring with 0 and 1. The Jacobson radical of  $S$ , denoted by  $\text{rad } S$  is the set

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$\text{rad } S = \bigcap \{M : M \text{ is a maximal } k\text{-ideal of } S\}.$

The Jacobson radical of  $S$  always exists by corollary 2.7 of Chapter I.

Clearly,  $\text{rad } S$  forms a  $k$ -ideal of  $S$  and is contained in each maximal  $k$ -ideal of  $S$ .

Let us determine the Jacobson radical of  $S[[x]]$  over a  $k$ -semifield  $S$ .

Proposition 2.11. If  $S$  is a  $k$ -semifield, then  $\text{rad}(S[[x]])$

$$= \left\{ f = \sum_{i=0}^{\infty} a_i x^i \in S[[x]] : a_0 = 0 \right\}.$$

Proof. Let  $A = \left\{ f = \sum_{i=0}^{\infty} a_i x^i \in S[[x]] : a_0 = 0 \right\}.$

Then  $A$  is a  $k$ -ideal of  $S[[x]]$ . As  $1 \in S[[x]]$  but  $1 \notin A$ ,  $A$  is a proper  $k$ -ideal of  $S[[x]]$ . From theorem 1.2 we find that each element of  $A$  is non semi-invertible. Now let  $f \in S[[x]]$  be such that

$$f = \sum_{i=0}^{\infty} a_i x^i \text{ is not semi-invertible in } S[[x]].$$

Then from the theorem 1.2 it follows that  $a_0 = 0$ . Hence  $f \in A$ . So we find that  $A$  is the set of all non semi-invertible elements of  $S[[x]]$ . As  $S$  is a  $k$ -semifield,  $S[[x]]$  is a local semiring by theorem 2.6. Hence the non semi-invertible elements of  $S[[x]]$  forms the only maximal  $k$ -ideal. As a result  $\text{rad}(S[[x]]) = A$ .