TABLE OF CONTENTS

Acknowledgments i-ii
List of Abbreviations iii-vi

CHAPTER I: INTRODUCTION 1-16

I. An Overview 7
II. Scope of the Study 13
III. Research Questions 14
IV. Hypotheses 14
V. Research Methodology 15
VI. Scheme of Study 15

CHAPTER II: TRADITIONAL KNOWLEDGE: AN OVERVIEW 17-54

I. Introduction 17
II. Protecting TK: the Rationale 18
III. Defining Traditional Knowledge: National and International Dimensions 26
   III.1. Biological Diversity 28
   III.2. Intellectual Property and Terminological Issues 31
   III.3. Relationship between TCEs and TK 35
   III.4. Disclosure of Origin 38
IV. Comparative Perspectives: Exclusivity, Indigeneity and TK 48
V. Summary 52

CHAPTER III: TRADITIONAL CULTURAL EXPRESSIONS: NORMATIVE AND POLICY PERSPECTIVES 55-112

I. Introduction 55
II. TCEs: Search for a Definition 58
III. Protection of TCEs: Some Policy Considerations 63
   III.1. Public Domain and Free Expression 65
   III.2. Protection of Derivative Works in TCEs 68
   III.3. Beneficiaries of Protection 69
IV. IPRs as Protection Tools 72
   IV.1. Global IP Regime 73
   IV.2. Copyright Protection for TCEs 74
      IV.2.1. Literary and Artistic Productions
      IV.2.2. Database Protection
      IV.2.3. Copyright Protection of TCEs: Some Challenges
   IV.3. Related Rights and TCEs 85
   IV.4. TCEs and Design Protection 88
CHAPTER IV: TRADITIONAL CULTURAL EXPRESSIONS AND CULTURAL HERITAGE: SOME LEGAL AND POLICY ISSUES 113-156

I. Introduction 113
II. UNESCO's Mandate 114
III. Culture, Cultural Property and Heritage: Contested Meanings 116
   III.1. Protection of "Cultural Property": The Genesis 117
   III.2. Distinguishing Cultural Property from Cultural Heritage 119
   III.3. Protection of Intangible Cultural Heritage: The Beginning 121
IV. WIPO-UNESCO Joint Efforts to Protect Expressions of Folklore 128
   IV.1. The 1989 Recommendation 130
   IV.2. Salient Features of the 1989 UNESCO Recommendation 133
   IV.3. The 1989 UNESCO Recommendation: Limitations 135
   IV.4. The 'Living Human Treasures' Programme 136
   IV.5. UNESCO-WIPO Regional Consultations 138
   IV.6. Proclamation of Masterpieces of Oral and Intangible Culture 140
V. UNESCO Convention for the Safeguarding of Intangible Cultural Heritage 145
   V.1. Subject-matter of Protection 147
   V.2. Main Features 148
   V.3. Relationship with other International Instruments 151
VI. UNESCO and Protection of Traditional Music and Handicraft 152
   VI.1. UNESCO Collection of Traditional Music of the World 152
   VI.2. UNESCO and Handicrafts Protection 152
VII. Summary 153
CHAPTER V: PROTECTION OF TRADITIONAL CULTURAL EXPRESSIONS THROUGH NON-IP MEASURES 157-204

I. Introduction 157
II. Contractual Arrangements 159
III. Marketing Arrangements 160
IV. Fair Trade 163
V. Human Rights and Protection of TCEs 167
   V.1. Individual Human Rights vs. Group Rights 174
   V.2. Indigenous Human Rights and the “Implementation Gap” 176
VI. Customary Law and Protocols 177
   VI.1. Enhancing the Effect of Customary Law 181
VII. Alternative Dispute Resolution and Protection of TCEs 182
VIII. Cultural Heritage Museums and Institutions 184
IX. Documentaries and Inventories 190
   IX.1. The Traditional Knowledge Digital Library 191
   IX.2. Documentation: Limits and Concerns 193
   IX.3. WIPO Toolkit 195
X. Privacy Law 198
XI. Fairs and Festivals 199
XII. Role of Cultural Organizations 200
XIII. Summary 201

CHAPTER VI: SUI GENERIS PROTECTION OF TRADITIONAL CULTURAL EXPRESSIONS 205-241

I. Introduction 205
II. National Experiences in Copyright Protection of TCEs 206
III. “Filling the Gaps” and Possible Modifications 210
IV. Sui Generis Options: Some Examples 212
   IV.1. The UNESCO Model 213
      IV.1.1. The UNESCO Model: a Critique 214
   IV.2. Tunis Model 216
   IV.3. Sui Generis Protection: Some Case Studies 218
      IV.3.1. The Philippines 221
      IV.3.2. Panama 222
      IV.3.3. The United States 223
      IV.3.4. Bangui Agreement 224
      IV.3.5. Pacific Regional Framework 225
      IV.3.6. Andean Framework 226
V. Sui Generis Options: An Analysis 230
VI. Other Sui Generis Options 233
VII. Summary 234
Table I: National Experiences in Protection of TK through
      Traditional IP Law 236
Table II: Regional Representation of Some Needs and Expectations
      in the Protection of TCEs 237
## CHAPTER VII: PROTECTING TRADITIONAL KNOWLEDGE: THE INDIAN EXPERIENCE 242-271

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. Introduction</td>
<td>242</td>
</tr>
<tr>
<td>II. Indian Constitutional Provisions and TK</td>
<td>242</td>
</tr>
<tr>
<td>III. Biological Diversity Act</td>
<td>243</td>
</tr>
<tr>
<td>IV. Traditional Medicine</td>
<td>246</td>
</tr>
<tr>
<td>V. Indian Patent Act</td>
<td>248</td>
</tr>
<tr>
<td>VI. Plant Varieties and Farmers' Rights Act</td>
<td>250</td>
</tr>
<tr>
<td>VII. TK Documentation and Traditional Medicinal Knowledge</td>
<td>251</td>
</tr>
<tr>
<td>VII.1. Some Regional Efforts</td>
<td>253</td>
</tr>
<tr>
<td>VII.1.1. Karnataka</td>
<td></td>
</tr>
<tr>
<td>VII.1.2. Andhra Pradesh</td>
<td></td>
</tr>
<tr>
<td>VII.1.3. Kerala</td>
<td></td>
</tr>
<tr>
<td>VIII. TCEs: The Indian Regulatory Framework</td>
<td>259</td>
</tr>
<tr>
<td>VIII.1. Indian Position on International Protection of TCEs</td>
<td>261</td>
</tr>
<tr>
<td>VIII.2. Indian Copyright Act and TCEs</td>
<td>262</td>
</tr>
<tr>
<td>IX. Geographical Indications of Goods (Registration &amp; Protection) Act</td>
<td>265</td>
</tr>
<tr>
<td>X. Summary</td>
<td>270</td>
</tr>
</tbody>
</table>

## CHAPTER VIII: CONCLUSIONS 272-283

## REFERENCES 284-314