APPENDIX

ANNEXURE – I

QUESTION SCHEDULE

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Dear Sir / Madam

I am doing research leading to Ph.D., under full time basis in the PG & Research Department of commerce, Kandaswami Kandar’s college P.velur Namakkal Dt. (Affiliated to Periyar University Salem-11). I intend to have “A Study on Investors Awareness towards Commodities Market” (with reference to Namakkal District, Tamil Nadu). As such I request the select Demat account holders (Individual investors) with Depository participants of NSDL and CSDL to be kind enough in filling up the questionnaire, so as to enable me to obtain first hand information about the investors awareness towards commodities market.

Thank you,

Yours Sincerely,

(P. VADIVEL)

QUESTION SCHEDULE

Q. NO

Personal particulars of the investors:

(i) Name : Mr / Ms / Mrs

(ii) Address of the Investors:

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1. Gender:
   - Male [ ]
   - Female [ ]
   - Transgender [ ]

2. Age (in years)
   (a) Less than 25 [ ]
   (b) 26-35 [ ]
   (c) 36-50 [ ]
   (d) 51-60 [ ]
   (e) Above 60 [ ]

3. Educational Qualifications
   (a) Less than SSLC [ ]
   (b) SSLC [ ]
   (c) HSC [ ]
   (d) Graduate [ ]
   (e) Post graduate [ ]

4. Monthly Income
   (a) Rs 10000 to Rs 25000 [ ]
   (b) Rs 25001 to Rs 50000 [ ]
   (c) Rs 50001 to Rs 75000 [ ]
   (d) Rs 75001 to Rs 100000 [ ]
   (e) Rs 100001 and above [ ]

5. Profession
   (a) Agriculturist [ ]
   (b) Salaried class [ ]
   (c) Business people [ ]
   (d) Student & Others (specify) [ ]

6. How many years have you been participating in this commodities trading?
   (a) Less than one year [ ]
   (b) Two to Three years [ ]
   (c) Three to four years [ ]
   (d) Five and above years [ ]

7. Do you think this experiences (i.e. less than one year to above five years) is enough for you to participate in commodities trading?
   (a) Enough [ ]
   (b) Not-enough [ ]

8. Do you have any other business apart from commodities trading?
   (a) Doing [ ]
   (b) Not doing [ ]
9. Is commodity trading a part time or full time business for you? (a) Part time □ (b) Full time □

10. Which level of investment is suitable to commodities trading according to you? (a) Minimum level Investment □ (b) Maximum level Investment □

11. Do you understand thoroughly about operations of commodities trading? (a) Understand □ (b) Not understand □

12. Do you listen the world level commodity position before entering in Indian commodities trading? (a) Listening □ (b) Not listening □

13. Do you listen to the American Dollars open value in commodity trading? (a) Listening □ (b) Not listening □

14. Do you listen to the world level important news like money inflation, economic saturation, war, natural disorder and so on, before participating in commodities trading? (a) Listening □ (b) Not listening □

15. Do you know the operating time of Indian and International commodities market? (a) Known □ (b) Unknown □

16. Have you invested above the margin amount in order to avoid loss in commodities trading? (a) Invested □ (b) Not invested □

17. Do you know where the commodities trading are functioning in India and abroad? (a) Known □ (b) Unknown □

18. Do you know clearly about various service charges implemented in commodities trading? (a) Known □ (b) Unknown □

19. Do you know about which kind of commodities to buy or sell in commodities trading? (a) Known □ (b) unknown □
20. If known, what is your preference to buy in the following commodities.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>BULLION</td>
</tr>
<tr>
<td></td>
<td>Gold</td>
</tr>
<tr>
<td>2</td>
<td>METAL</td>
</tr>
<tr>
<td></td>
<td>Aluminium, Zinc, Lead</td>
</tr>
<tr>
<td>3</td>
<td>Nickel, Copper, Sponge Iron</td>
</tr>
<tr>
<td>4</td>
<td>FIBER</td>
</tr>
<tr>
<td></td>
<td>Cotton, yarn, Kapas</td>
</tr>
<tr>
<td>5</td>
<td>ENERGY</td>
</tr>
<tr>
<td></td>
<td>Crude oil</td>
</tr>
<tr>
<td>6</td>
<td>Natural gas</td>
</tr>
<tr>
<td>7</td>
<td>SPICES</td>
</tr>
<tr>
<td></td>
<td>Cardamom, Jeera, Pepper, Red chilli</td>
</tr>
<tr>
<td>8</td>
<td>PLANTATIONS</td>
</tr>
<tr>
<td></td>
<td>Coffee, Rubber</td>
</tr>
<tr>
<td>9</td>
<td>PULSES</td>
</tr>
<tr>
<td></td>
<td>Chana, Masur, Yellow peas, Nuts</td>
</tr>
<tr>
<td>10</td>
<td>OIL</td>
</tr>
<tr>
<td></td>
<td>Castor oil, Palm oil, Coconut oil, Mustard oil</td>
</tr>
<tr>
<td>11</td>
<td>OIL SEEDS</td>
</tr>
<tr>
<td></td>
<td>Caster seeds, Mustard seeds, Cotton seed, Sesame seed</td>
</tr>
<tr>
<td>12</td>
<td>CEREALS</td>
</tr>
<tr>
<td>13</td>
<td>OTHERS</td>
</tr>
<tr>
<td></td>
<td>Wheat / Rice / Sugar</td>
</tr>
</tbody>
</table>
21. Rank the following factors affecting the changes in gold price as 1st rank, 2nd rank etc.

<table>
<thead>
<tr>
<th>SL NO.</th>
<th>FACTORS</th>
<th>RANKS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Crude oil price changes</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Money inflation</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Rate of interest</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>American Dollar’s value changes</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Gap between Demand and supply</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Investment habits</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Compulsory domestic needs</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>International political changes</td>
<td></td>
</tr>
</tbody>
</table>

22. Rank the following factors affecting the changes in silver price as 1st rank, 2nd rank etc...

<table>
<thead>
<tr>
<th>Sl No.</th>
<th>FACTORS</th>
<th>RANKS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Purchasing power of Investors</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Hike of Prices</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Demand for silver jewels articles</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Sudden international changes</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Technological changes</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>American Dollar’s value changes</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Gap between Demand and supply</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>International Economic instability</td>
<td></td>
</tr>
</tbody>
</table>
23. Different opinions are given regarding the Crude oil price changes. According to your opinion, rank the following reasons for Crude oil prices changes as 1<sup>st</sup> rank, 2<sup>nd</sup> rank etc.

<table>
<thead>
<tr>
<th>S1 No.</th>
<th>REASONS FOR PRICE CHANGES</th>
<th>RANKS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>The gap between demand and supply</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>American Dollars value changes</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>International political changes</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>International economic saturation and growth</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Naxal attacks on oil wells</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Opening of new oil wells</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Less production and closure of existing oil wells</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Waiting for higher profits</td>
<td></td>
</tr>
</tbody>
</table>

24. Have you been listening property before purchasing of Agri Commodities?

(a) Yes [ ]  
(b) No [ ]

25. If yes, which factor is mostly influencing the Agri commodity market (Please assign the ranks as 1<sup>st</sup> rank, 2<sup>nd</sup> rank and so on)

<table>
<thead>
<tr>
<th>S1 No.</th>
<th>FACTORS</th>
<th>RANKS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Time situation</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Seasonal situation</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Import and export transaction</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Crops production</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Agri department reports</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Spot market price</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Other factors</td>
<td></td>
</tr>
</tbody>
</table>
26. Do you know the “Stop loss method” in commodities market?

(a) Known [ ] (b) Unknown [ ]

27. If yes, do you use the stop loss method in your transaction?

(a) Used [ ] (b) Not used [ ]

28. Do you know about the “Circuit breaker method”?

(a) Known [ ] (b) Unknown [ ]

29. Do you know about “Square off procedure”?

(a) Known [ ] (b) Unknown [ ]

30. Do you know that there is sales tax while taking the delivery of commodities?

(a) Known [ ] (b) Unknown [ ]

31. Do you know the meaning of clearing, settlement in commodities trading?

(a) Known [ ] (b) Unknown [ ]

32. Do you know about E-gold and E-silver methods?

(a) Known [ ] (b) Unknown [ ]

33. Do you know where the warehouses are functioning?

(a) Known [ ] (b) Unknown [ ]

34. Which of the following type of features have crossed in your experiences?

(a) Long-profits [ ] (b) Long-losses [ ]

(c) Short-profits [ ] (d) Short-losses [ ]

35. Do you participate in commodities trading as per experts advice?

(a) Yes [ ] (b) No [ ]
36. What percentage of profits have you been expecting in commodities trading?
   (a) 5% to 10%   (b) 11% to 20%
   (c) 21% to 40% (d) 41% to 50%
   (e) Above 50%

37. Under commodities trading, state your satisfaction towards rate of return?
   (a) Very much satisfied (b) Satisfied
   (c) Neither satisfied nor unsatisfied (d) Unsatisfied
   (e) Highly Unsatisfied

38. If dispute arises with broking agency do you know whom to complaint?
   (a) Known (b) Unknown

39. Are you aware of the provisions of SEBI Act and SEBI guidelines for investor protection?
   (a) Aware (b) Not aware

40. Have you approached SEBI and/or Stock exchanges to redress grievances?
   (a) Not at all (b) 1 time
   (c) 2 times (d) 3 times
   (e) Above 4 times

41. How did SEBI and/or Stock exchanges redress your grievances?
   (a) Postively (b) still pending
   (c) Not able to solve

42. Which factor/factors motivated you to participate in commodities trading?
   (a) High rate of return (b) Society status
   (c) Wealth maximization (d) less formalities
   (e) No requirement of physical work (f) others specify……
43. Are you Income tax assessee?

(a) Yes ☐ (b) No ☐

If any others, please specify

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Thank you

Signature of the Respondent
ANNEXURE – II

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