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QUESTIONNAIRE TO MUTUAL FUND INVESTORS

'MULTICRITERIA SELECTION APPROACH OF MUTUAL FUND INVESTORS'

Research Investigator

G.V.K.Kasthuri

Research scholar (PT)

Department of Commerce and Management studies

Andhra University.

Dear Sir/Madam,

Please read the following items carefully and answer them. The answers you give will be used purely for academic purpose. Please put a tick mark in the brackets () which corresponds with your view.

Part A: Personal Data

1. Name (optional) :
2. Age-group (Years) :
(25-35) () 35-45 () 45-55 () 55-65 () >65 ()
3. Sex : Male () Female ()
4. Academic qualification :
School final () Graduate () Post-graduate () Professional degree ()
5. Annual Income group (Rupees in lakhs)
1.5-2.5 () 2.5-3.5 () 3.5-4.5 () >4.5 ()
6. Occupation
Permanent () Temporary () :
Professional () Salaried () Business () Retired ()
7. Nationality : Indian () NRI ()

8. Marital status :

Married () Unmarried () Divorced ()
Widow () Widower () Separated ()

9. Your economic status

a) Single income () b) Spouse's additional income c) other income ()

10. Children Number ----- and status

a) kids () b) school going () c) grown ups () d) married ()

Investment pattern: (With options given)

1. How much percentage of income do you save (approximately?)

a) 5-10 % () b) 10-15% () c) 15-20% () d) 20-25% () e) > 25%

2. How do you save in different financial assets? Please rank accordingly.

Currency () Gold & Silver ()

Savings deposit () Postal Savings ()

Fixed Deposit () Life Insurance ()

Pension and PF () Bonds/debentures ()

Mutual Funds () Shares ()

Derivatives () Chits ()

Real Estate ()

3. In Mutual Funds which one do you prefer? Please rank

Income based () Capital/growth based ()

Balanced Fund () Tax Benefit ()

4. Which type of Mutual Fund do you prefer?

a) Open-ended b) closed-ended c) Interval fund

5. While investing your criteria is for

a) Short-term () b) Medium-term () c) Long-term ()

6. Do you prefer to invest in equity or equity fund?

- a) Equity () b) Equity fund ()

7. If answer is equity fund why do you invest in it instead of equity?

- a)safety b) liquidity c) easy to understand d) guaranteed returns

8. In Fund-based funds which one do you prefer? Please rank

Equity Fund () Debt Fund ()

Exchange Traded Fund () Global Fund ()

Gold Fund () Index Fund ()

9. In case of short-term investment which one is better?

- a) Savings Deposits () b) Equity () c) Mutual funds () d) Bonds ()

10. In case of long-term investment which one is better?

- a) FDR () b) Equity () c) Closed-ended Mutual Funds () d) Debentures ()

e) Preference shares ()

11. When you select a company how do you select it?

- a) out of top five performers based on past returns ()

- b) based on its NAV() c) as per advisor's advice e)choose at random f)according to my needs/objectives () g) as per friends and relatives' advice ()

12. Can you mention your specific needs? (Please rank)

- a) Own marriage () b) children's education () c) children's marriage () d) retirement needs () e) visit abroad () f) own house () g) to improve status () h) improve standard of living () i) no specific need (to enjoy)() j) to meet unexpected/emergency needs () tax savings ()

Any specific preference? -----

Why? -----

13. How long have you been investing in this Mutual Funds?

a) < one year b) 1-2 years () c) 2-5 years () d) 5-10 years () e) > 10 years ()

14. Do you think Mutual Fund is risk-free or less-risk investment?

a) Risk-free () b) Less risk () c) risky ()

15. Do you know that Mutual Funds are protected under SEBI guidelines?

Yes () No ()

16. Do you ever try to know where your fund?

is being invested by AMC?

Yes () No ()

17. The main objective of investment in Mutual Funds is

a.) hedging () b) profit making () c) regular returns () d) tax benefit ()

18. Factors influencing the investment in Mutual Funds are (please rank)

a) NAV b) liquidity c) Easy to enter and exit d) Risky e) tax benefit
f) safety () g) matching to needs and objectives () h) company's brand () i)
choice is more j) entry/exit loads k) other factors

19. In this present financial crisis do you like to continue your investment in Mutual Funds or you want to shift to a better or safer investment.

a) continue () b) shifting to other savings schemes ()

Why? -----

-----.

20. If so which one do you feel as safer?

- a) bank deposit () b) gold () c) bonds () d) debentures () e) insurance(money back) () f) ULIPs () g) real estate ()

21. I select fund manager according to the

- a) Low service charges b) quick service c) better fund management e) according to rating give by CRA b)entry/exit loads g) all

22. What is your present rate of return in percentage on your investment in Mutual Funds?

- a)less than 5% b) 5-10 % c) 10-15% d) 15-20% e) 20-25%

23. What is expected rate of return in percentage on

- a) Insurance () b) Mutual Funds () c) Shares ()

Part B: Please read the following statements and indicate your views by putting a tick mark in the appropriate brackets.

	Yes	No	Do not know
1. Are you satisfied with the services provided by the agents.			
2. As you know now-a-days banks are offering 10.55% On 500 days and 400 days deposits? Don't you feel it is safe to deviate towards bank deposits?			
3. Do you change or update your portfolio investment? (Proportion in different types of financial securities)			
4. Do you feel that Mutual Funds are protected?			

under SEBI guidelines ?			
5. Do you feel additional protection to be given to the investors of Mutual Funds by SEBI or Asset Management Company?			
6. Do you feel buying ADRs/GDRs is more safe than Mutual Funds?			
7 I am simply investing in Mutual Funds because my money is kept in expert's hands			
8. I like to invest in Mutual Funds because it is easy to understand and invest.			
9. I will go through the company's fact sheets before investing in a particular company's funds			
10. I try to educate myself with the help of financial planner about each type of Mutual Fund before I invest.			
11. I am interested in short-term so that I can change/adjust the portfolio according to the market			
12. I am satisfied with the Mutual Funds return and Performance.			
13. It is managed professionally by fund managers. So I need not again take the advice of financial planners. I have to see only whether it matches my needs or objectives			
14. Are you interested in finding out your fund performance and its movement and regularly trade according to the daily newspaper information?			
15. Can you manage your money professionally			

and also advice others?			
16. Do you know that there are 36 investment companies offering Mutual Funds?			
17. Do you feel that debt funds are more safe than equity funds?			
18. Are you interested in investors' awareness programme on financial products and new funds ?			

PART – C: Please read the following statement and indicate your views by putting a tick mark in the appropriate column. (Completely agree, somewhat agree, neutral, somewhat disagree and completely disagree)

(**Comp agree = Completely agree**) (**Comp disagree = completely disagree**)

	Comp agree	agree	Neu tral	Dis agree	Comp disagree
1. Mutual funds / Mutual fund products are becoming market friendly.					
2. Based on recent experience I will surely invest my unexpected surplus savings in Mutual funds in future					
3. I prefer to invest my surplus in banks or post office savings schemes instead of investing in Mutual funds					
4. Mutual funds lack the procedural simplicity and liquidity and are beyond the comprehension					

of a common man.					
5. Government support and structural reforms will attract more investors to Mutual Funds in future.					
6. Regulatory environment of Mutual funds will improve in future					
7. The quality of investment company services in Mutual funds will improve in future					
8. Mutual funds investment have a high choice in my regular investments					
9. Products offered by Indian Mutual Funds are in line with our many needs and expect more innovative products from Mutual Funds industry in the near future.					
10. In my opinion, it will take a very few years for Indian Mutual Funds industry to win the confidence of investors and give a real return in terms of yield and quality service.					
12. Liquidity in Mutual fund is an important aspect while selecting Mutual fund.					
	Comp agree	agree	Neu tral	Dis agree	Comp disagree
13. Capital appreciation is one of the most important criteria for investing in Mutual Fund.					
14. Stable income is always preferred.					
15. Disclosure of scheme's NAV on every trading					

day influences the investors.					
16. Higher the performance of the scheme better is the performance of Mutual Fund.					
17. NAV fluctuations do not encourage the investors to invest.					
18. Continuous increase in NAV signifies growth in investment.					
19. NAV is the most important criteria to be considered in selection of Mutual Fund.					
20. Completely new and innovative scheme attract more investors.					
21. Listing of open ended schemes on stock exchange facilitates better trading of Mutual fund.					
22. The burden of Entry/Exit charges is considered in selecting a scheme.					
23. Investors normally prefer growth schemes as it gives better return.					
24. Closed ended funds are less risky and like to invest in such funds					
25. Investing in Mutual Funds is less risky than investing in shares.					
26. International Funds are providing greater returns than domestic funds.					
27. Risk involved in different schemes is directly related to return of scheme.					

28. Fringe benefits like free insurance, free credit give favorable consideration while selecting Mutual Fund.					
29. Agency network/infrastructure influences the selection of Mutual Fund.					
30. Brand name or Scheme's reputation is one of the influencing factor while selecting the scheme.					

Open-ended questions:

1. Do you feel additional protection is to be given to the investors of Mutual Funds by SEBI or AMC?

Yes () No ()

If yes How? -----

2. Which fund manager do you prefer?

Why?

Any suggestions regarding types of Mutual Funds-----

Facilities provided by Mutual Funds -----

Services provided by brokers -----

Brokerage commission -----

Reports published -----

3. Do you have investment planning or simply invest? -----

4. Name few Mutual Fund Schemes existing in Indian Capital market at present which are performing better in standard return, good yield, etc. in the past three years, past one year and last year

Thank you

Character	Group	Low service charges	Quick service	Better fund management	According to rating give by CRA	Entry/exit loads	All	Total	χ^2
Gender	Male	41(8.2)	57(11.4)	124(24.8)	24(4.8)	31(6.2)	59(11.8)	336(67.2)	11.64*
	Female	10(2.0)	18(3.6)	63(12.6)	21(4.2)	17(3.4)	35(7.0)	164(32.8)	
Age	25-35	30(6.0)	39(7.8)	92(18.4)	18(3.6)	23(4.6)	47(9.4)	249(49.8)	32.14*
	35-45	11(2.2)	18(3.6)	28(5.6)	15(3.0)	18(3.6)	26(5.2)	116(23.2)	
	45-55	7(1.4)	12(2.4)	54(10.8)	10(2.0)	5(1.0)	15(3.0)	103(20.6)	
	55-65	3(0.6)	6(1.2)	10(2.0)	2(0.4)	2(0.4)	6(1.2)	29(5.8)	
	>65	-	-	3(0.6)	-	-	-	3(0.6)	
Academic Qualification	School final	3(0.6)	5(1.0)	6(1.2)	-	1(0.2)	4(0.8)	19(3.8)	24.59*
	Graduate	19(3.8)	37(7.4)	63(12.6)	20(4.0)	17(3.4)	36(7.2)	192(38.4)	
	Post-graduate	23(4.6)	25(5.0)	77(15.4)	18(3.6)	15(3.0)	27(5.4)	185(37.0)	
	Professional degree	6(1.2)	8(1.6)	41(8.2)	7(1.4)	15(3.0)	27(5.4)	104(20.8)	
Annual Income	1.5-2.5	38(7.6)	28(5.6)	85(17.0)	32(6.4)	27(5.4)	60(12.0)	270(54.0)	53.56**
	2.5-3.5	8(1.6)	35(7.0)	57(11.4)	5(1.0)	15(3.0)	19(3.8)	139(27.8)	
	3.5-4.5	2(0.4)	10(2.0)	23(4.6)	-	2(0.4)	8(1.6)	45(9.0)	
	>4.5	3(0.6)	2(0.4)	22(4.4)	8(1.6)	4(0.8)	7(1.4)	46(9.2)	
Occupation	Professional	10(2.0)	16(3.2)	22(4.4)	8(1.6)	9(1.8)	17(3.4)	82(16.4)	21.34
	Salaried	39(7.8)	48(9.6)	133(26.6)	26(5.2)	34(6.8)	61(12.2)	341(68.2)	
	Business	2(0.4)	10(2.0)	26(5.2)	11(2.2)	4(0.8)	16(3.2)	69(13.8)	
	Retired		1(0.2)	6(1.2)		1(0.2)		8(1.6)	
Nationality	Indian	49(9.8)	75(15.0)	184(36.8)	43(8.6)	48(9.6)	94(18.8)	493(98.6)	8.51
	NRI	2(0.4)		3(0.6)	2(0.4)			7(1.4)	
Marital Status	Married	25(5.0)	47(9.4)	124(24.8)	23(4.6)	31(6.2)	41(8.2)	291(58.2)	56.80**
	Unmarried	26(5.2)	22(4.4)	55(11.0)	22(4.4)	15(3.0)	42(8.4)	182(36.4)	
	Divorced	-	2(0.4)	-	-	2(0.4)	6(1.2)	10(2.0)	

	Widow	-	-	2(0.4)	-	-	1(0.2)	3(0.6)	
	Widower	-	-	2(0.4)	-	-	4(0.8)	6(1.2)	
	Separated	-	4(0.8)	4(0.8)	-	-	-	8(1.6)	
Economic Status	Single income	40(8.0)	58(11.6)	129(25.8)	35(7.0)	31(6.2)	74(14.8)	367(73.4)	20.72*
	Spouse's additional income	7(1.4)	6(1.2)	42(8.4)	3(0.6)	10(2.0)	8(1.6)	76(15.2)	
	Other income	4(0.8)	11(2.2)	16(3.2)	7(1.4)	7(1.4)	12(2.4)	57(11.4)	
Status of children	None	24(4.8)	25(5.0)	68(13.6)	19(3.8)	21(4.2)	43(8.6)	200(40.0)	42.42**
	Kids	8(1.6)	16(3.2)	37(7.4)	3(0.6)	17(3.4)	12(2.4)	93(18.6)	
	School going	10(2.0)	27(5.4)	62(12.4)	18(3.6)	2(0.4)	29(5.8)	148(29.6)	
	Grown ups	8(1.6)	7(1.4)	15(3.0)	4(0.8)	7(1.4)	5(1.0)	46(9.2)	
	Married	1(0.2)	-	5(1.0)	1(0.2)	1(0.2)	5(1.0)	13(2.6)	
	Total	51(10.2)	75(15.0)	187(37.4)	45(9.0)	48(9.6)	94(18.8)	500(100.0)	

Note: Figures in parenthesis represent to total sample.

*Significant at 5% level.

**Significant at 1% level.

Source: Computed from Primary Data

Sl. No.	Statements	Weighted Mean	S.D.
1.	Are you satisfied with the services provided by the agents	1.59	0.80
2.	As you know now-a-days banks are offering 10.55% On 500 days and 400 days deposits? Don't you feel it is safe to deviate towards bank deposits?	1.59	0.76
3.	Do you change or update your portfolio investment? (Proportion in different types of financial securities)	1.86	0.82

4.	Do you feel that Mutual Funds are protected? under SEBI guidelines ?	1.43	0.71
5.	Do you feel additional protection to be given to the investors of Mutual Funds by SEBI or Asset Management Company?	1.68	0.84
6.	Do you feel buying ADRs/GDRs is more safe than Mutual Funds?	2.09	0.84
7.	I am simply investing in Mutual Funds because my money is kept in expert's hands	1.64	0.78
8.	I like to invest in Mutual Funds because it is easy to understand and invest.	1.60	0.80
9.	I will go through the company's fact sheets before investing in a particular company's funds	1.63	0.79
10.	I try to educate myself with the help of financial planner about each type of Mutual Fund before I invest	1.53	0.77
11.	I am interested in short-term so that I can change/adjust the portfolio according to the market	1.56	0.76
12.	I am satisfied with the Mutual Funds return and Performance.	1.66	0.80
13.	It is managed professionally by fund managers. So I need not again take the advice of financial planners. I have to see only whether it matches my needs or objectives or not	1.78	0.84
14.	Are you interested in finding out your fund performance and its movement and regularly trade according to the daily newspaper information?	1.49	0.73
15.	Can you manage your money professionally and also advice others?	1.56	0.70
16.	Do you know that there are 36 investment companies offering Mutual Funds?	2.02	0.72
17.	Do you feel that debt funds are safer than equity funds?	1.84	0.82
18.	Are you interested in investors' awareness programme on financial products and new funds?	1.62	0.82

Source: Computed from Primary Data.

Scheme Name	6-Mth (%)	1-Yr (%)	2-Yr (%)	3-Yr (%)	5-Yr (%)	Since Inception (%)	Std. Dev (%)	Sharpe Ratio	Portfolio Turnover Ratio (%)	Expense Ratio (%)
BANKING & FINANCIAL SERVICES FUNDS										
Reliance Banking (G)	-14.8	19.5	28.7	20.1	26.4	33.3	10.97	0.16	44.00	1.93
UTI Banking Sector (G)	-13.8	16.1	24.8	14.6	21.9	27.5	10.61	0.13	271.41	2.34
ICICI Pru Banking & Fin Serv (G)	-12.5	15.6	24.2	-	-	22.8	9.85	0.19	53.00	2.40
Religare Banking (G)	-13.7	15.4	27.7	-	-	26.0	9.66	0.20	224.00	2.50
Category Average*	-13.7	16.7	26.4	17.4	24.2	27.4	10.27	0.17	-	-
BSE BANEX	-11.9	16.7	22.0	10.5	19.3	-	12.85	0.10	-	-
ENERGY & POWER FUNDS										
Reliance Diver Power Sector (G)	-19.1	-11.8	8.4	0.4	19.9	31.2	9.43	0.01	25.00	1.82
UTI Energy (G)	-10.5	-4.3	9.2	-3.1	-	-8.7	8.73	-0.03	21.09	1.64
Sundaram Energy Opportunities (G)	-12.1	-3.6	6.0	-4.0	-	-7.9	10.27	-0.02	133.00	1.94
Category Average*	-13.9	-6.6	7.9	-2.2	19.9	4.9	9.48	-0.01	-	-
BSE POWER	-14.1	-13.6	-2.7	-8.4	7.9	-	10.94	-0.06	-	-
FMCG FUNDS										
Franklin FMCG (G)	0.2	24.5	39.9	19.6	14.4	17.2	5.91	0.20	6.04	2.08
ICICI Pru FMCG	4.8	31.7	42.2	12.1	12.4	17.2	7.15	0.10	36.00	2.49

(G)											
SBI Magnum FMCG	3.8	30.0	47.3	22.5	16.4	12.2	5.99	0.24	42.00	2.50	
Category Average*	3.0	28.7	43.1	18.1	14.4	15.5	6.35	0.18	-	-	
BSE FMCG	5.5	33.7	34.0	14.7	13.5	-	6.25	0.13	-	-	
INFRASTRUCTURE FUNDS											
HDFC Infrastructure (G)	-14.8	0.8	19.4	4.9		3.4	10.37	0.06	38.30	1.97	
Birla SL Infrastructure (G)	-15.7	-3.5	12.1	1.3	9.3	8.9	10.61	0.02	51.00	2.26	
ICICI Pru Infrastructure (G)	-9.9	2.1	8.8	-0.8	14.3	19.8	8.96	-0.01	93.00	1.85	
Tata Infrastructure (G)	-16.0	-2.4	8.5	-3.1	9.4	19.3	9.83	-0.02	30.42	1.91	
UTI Infrastructure (G)	-15.0	-7.0	3.2	-5.2	5.9	17.2	8.71	-0.06	133.16	1.80	
Category Average*	-14.3	-2.0	10.4	-0.6	9.7	13.7	9.7	0.0	-	-	
BSE-200	-9.8	7.1	15.2	1.2	10.7	-	10.20	0.02	-	-	
PHARMA FUNDS											
Reliance Pharma (G)	-2.4	13.0	57.4	31.1	24.1	27.9	8.15	0.27	29.00	2.23	
Franklin Pharma (G)	-2.8	15.4	53.3	28.3	18.1	16.4	6.80	0.29	8.74	2.06	
UTI Pharma & Healthcare (G)	-4.7	16.5	40.0	18.5	12.8	26.9	6.13	0.20	65.68	2.09	

SBI Magnum Pharma (G)	-3.8	14.5	43.5	10.2	4.1	11.5	9.40	0.10	79.00	2.50
Category Average*	-3.4	14.8	48.6	22.0	14.8	20.7	7.62	0.21	-	-
BSE HEALTH CARE	-7.2	15.7	36.8	12.2	11.7	-	7.41	0.11	-	-
IT FUNDS										
Franklin Infotech(G)	1.0	19.7	45.4	13.0	10.5	22.1	8.62	0.13	1.26	2.43
ICICI Pru Technology(G)	0.1	27.4	53.0	8.5	10.1	5.4	9.50	0.09	17.00	2.46
DSPBR Technology.com(G)	-4.0	1.0	32.5	3.1	13.6	11.1	9.00	0.03	80.00	2.50
SBI Magnum IT	1.2	16.9	42.7	3.0	6.3	9.6	10.62	0.05	31.00	2.50
Category Average*	-0.4	16.3	43.4	6.9	10.1	12.1	9.44	0.07	-	-
BSE IT	2.2	18.4	45.3	10.4	10.0	-	9.07	0.11	-	-
MEDIA & ENTERTAINMENT FUNDS										
Reliance Media & Entertainment (G)	-15.3	-1.2	20.1	-3.4	6.5	15.6	10.83	-0.01	42.00	2.50
Sundaram Enter Oppor (G)	-7.5	-2.0	9.7	-	-	12.6	8.58	0.10	8.00	2.50
Category Average*	-11.4	-1.6	14.9	-3.4	6.5	14.1	9.7	0.0	-	-
BSE SENSEX	-7.7	10.2	12.5	1.2	10.6		9.66	0.02	-	>-

TABLE 1:- MUTUAL FUND DATA FOR THE MONTH - NOVEMBER 2011

	CATEGORY	SALES - ALL SCHEMES				REDEMPTIONS ALL SCHEMES		
		From New Schemes #		From Existing Schemes	Total for the month	Cumulative April 2011 to November 2011	For the month	Cumulative April 2011 to November 2011
		No.	Amount	Amount				
A	BANK SPONSORED							
I	JOINT VENTURES - PREDOMINANTLY INDIAN (3)	-	-	32,014	32,014	313,148	32,653	311,511
II	JOINT VENTURES - PREDOMINANTLY FOREIGN (1)	-	-	7,054	7,054	39,154	6,298	35,618
III	OTHERS (2)	1	108	48,594	48,702	437,444	47,219	428,019
	TOTAL 'A' (I+II+III)	1	108	87,662	87,770	789,746	86,170	775,148
B	INSTITUTIONS							
	JOINT VENTURES - PREDOMINANTLY INDIAN (1)	1	43	2,266	2,309	25,756	2,530	27,831
C	PRIVATE SECTOR							
I	INDIAN (19)	30	4,035	250,763	254,798	1,934,076	254,341	1,890,944
II	FOREIGN (9)	1	107	26,376	26,483	168,421	26,221	161,019
III	JOINT VENTURES - PREDOMINANTLY INDIAN (4)	21	4,739	151,501	156,240	1,522,214	155,031	1,489,689
IV	JOINT VENTURES - PREDOMINANTLY FOREIGN (5)	-	-	17,412	17,412	107,394	16,946	102,637
	TOTAL 'C' (I+II+III+IV)	52	8,881	446,052	454,933	3,732,105	452,539	3,644,289
	GRAND TOTAL (A+B+C)	54	9,032	535,980	545,012	4,547,607	541,239	4,447,268
	Figures for corresponding period of previous year	44	11,259	766,265	777,524	6,230,165	759,145	6,217,980

Released on 8.12.2011

Note 1. Data is provisional & hence subject to revision.
2. # Only New Schemes where allotment is completed.

TABLE 2:- SALES DURING THE MONTH OF NOVEMBER 2011 - TYPE AND CATEGORY WISE
2.1 *NEW SCHEMES LAUNCHED (ALLOTMENT COMPLETED)

(Rs. in Crore)

	Open End		Close End		Interval Fund		TOTAL	
	No.of Schemes	Amount	No.of Schemes	Amount	No.of Schemes	Amount	No.of Schemes	Amount
INCOME	1	2	50	8,912	-	-	51	8,914
EQUITY	2	10	-	-	-	-	2	10
BALANCED	-	-	-	-	-	-	-	-
LIQUID/MONEY MARKET	-	-	-	-	-	-	-	-
GILT	-	-	-	-	-	-	-	-
ELSS - EQUITY	-	-	-	-	-	-	-	-
GOLD ETF	1	108	-	-	-	-	1	108
OTHER ETFs	-	-	-	-	-	-	-	-
FUND OF FUNDS INVESTING	-	-	-	-	-	-	-	-
OVERSEAS	-	-	-	-	-	-	-	-
TOTAL	4	120	50	8,912	-	-	54	9,032

*NEW SCHEMES LAUNCHED :

OPEN END INCOME :	Tata Retirement Savings Fund - Conservative Plan
OPEN END EQUITY :	Tata Retirement Savings Fund - Moderate Plan and Progressive Plan
OPEN END GOLD ETF :	IDBI Gold ETF
CLOSE END INCOME :	Axis Capital Protection Oriented Fund - Series 1; Birla Sun Life FTP - Series DR, Series DS and Series DT, Short Term FMP - Series 22 and Series 23; DSP BlackRock FTP Series 1 - 24M, DSP BlackRock FMP Series 18 - 12M, Series 19 - 3M, Series 20 - 12M and Series 21 - 3M; DWS FTF Series 92; Fidelity FMP Series VI Plan A; HDFC FMP 92D November 2011 (1) Series XIX and November 2011 (2) Series XIX, 370D November 2011 (1) Series XIX, November 2011 (2) Series XIX and November 2011 (3) Series XIX, 36M October 2011 (1) Series XIX; ICICI Prudential Multiple Yield Fund Plan E, ICICI Prudential FMP Series 57 - 3 Year Plan C, Series 60 - 18 Months Plan B and Plan C, 1 Year Plan D; IDFC FMP EMS - 9, QS - 66, YS 53 and YS 54, 2 YS - 1 and 3 YS - 5; Kotak FMP Series 64, Series 65 and Series 66; L & T FMP V (November 90D A); LIC Nomura MF FMP Series 47; Reliance Fixed Horizon Fund - XX - Series 33, XXI - Series 1, Series 13, Series 14 and Series 25; Religare FMP Series X Plan A (13 Months), Plan B (370 Days), Plan D (18 Months), Plan E (371 Days) and Plan F (371 Days); Sundaram Capital Protection Oriented Fund - 2 Years (Series 4) and 5 Years (Series 3), Sundaram FTP - BP and CA; Tata FMP Series 37 Scheme C.

2.2 EXISTING SCHEMES

	Open End		Close End		Interval Fund		TOTAL	
	No.of Schemes	Amount	No.of Schemes	Amount	No.of Schemes	Amount	No.of Schemes	Amount
INCOME	218	38,107	358	2,055	33	1,666	609	41,828
EQUITY	299	3,173	6	-	-	-	305	3,173
BALANCED	30	293	1	-	-	-	31	293
LIQUID/MONEY MARKET	55	489,990	-	-	-	-	55	489,990
GILT	38	133	-	-	-	-	38	133
ELSS - EQUITY	35	162	12	-	-	-	47	162
GOLD ETF	11	244	-	-	-	-	11	244
OTHER ETFs	21	127	-	-	-	-	21	127
FUND OF FUNDS INVESTING	19	30	-	-	-	-	19	30
OVERSEAS	-	-	-	-	-	-	-	-
TOTAL	726	532,259	377	2,055	33	1,666	1136	535,980

Notes: The change in number of existing schemes is because of the maturity and reclassification of some of the existing schemes.

^ Amount mobilised by new plans launched under existing scheme.

2.3 TOTAL OF ALL SCHEMES

	Open End		Close End		Interval Fund		TOTAL	
	No.of Schemes	Amount	No.of Schemes	Amount	No.of Schemes	Amount	No.of Schemes	Amount
INCOME	219	38,109	408	10,967	33	1,666	660	50,742
EQUITY	301	3,183	6	-	-	-	307	3,183
BALANCED	30	293	1	-	-	-	31	293
LIQUID/MONEY MARKET	55	489,990	-	-	-	-	55	489,990
GILT	38	133	-	-	-	-	38	133
ELSS - EQUITY	35	162	12	-	-	-	47	162
GOLD ETF	12	352	-	-	-	-	12	352
OTHER ETFs	21	127	-	-	-	-	21	127
FUND OF FUNDS INVESTING	19	30	-	-	-	-	19	30
OVERSEAS	-	-	-	-	-	-	-	-
TOTAL	730	532,379	427	10,967	33	1,666	1,190	545,012

Table 3:-

REDEMPTIONS / REPURCHASES DURING THE MONTH OF NOVEMBER 2011
CATEGORY & TYPE WISE

(Rs. in Crore)

	Open End	Close End	Interval Fund	TOTAL	Net Inflow / (Outflow) For the Month	Net Inflow / (Outflow) For the Year to Date Current Year	Net Inflow / (Outflow) For the Year to Date Previous Year
INCOME	41,699	9,719	1,059	52,477	(1,735)	9,980	2,736
EQUITY	3,231	1	-	3,232	(49)	3,236	(17,534)
BALANCED	302	-	-	302	(9)	709	320
LIQUID/MONEY MARKET	484,129	-	-	484,129	5,861	84,983	25,481
GILT	240	-	-	240	(107)	(926)	887
ELSS - EQUITY	143	22	-	165	(3)	(468)	(993)
GOLD ETFs	374	-	-	374	(22)	3,091	1,341
OTHER ETFs	155	-	-	155	(28)	(499)	745
FUND OF FUNDS	165	-	-	165	(135)	233	(798)
INVESTING OVERSEAS	165	-	-	165	(135)	233	(798)
TOTAL	530,438	9,742	1,059	541,239	3,773	100,339	12,185

Table 4:-

ASSETS UNDER MANAGEMENT AS ON NOVEMBER 30, 2011
CATEGORY & TYPE WISE

(Rs. in Crore)

	Open End	Close End	Interval Fund	TOTAL	% to Total
INCOME	185,642	120,030	7,370	313,042	46
EQUITY	147,985	368	-	148,353	22
BALANCED	15,457	11	-	15,468	2
LIQUID/MONEY MARKET	166,521	-	-	166,521	25
GILT	2,663	-	-	2,663	@
ELSS - EQUITY	19,332	2,352	-	21,684	3
GOLD ETF	9,568	-	-	9,568	2
OTHER ETFs	1,605	-	-	1,605	@
FUND OF FUNDS	2,751	-	-	2,751	@
INVESTING OVERSEAS	2,751	-	-	2,751	@
TOTAL	551,524	122,761	7,370	681,655	100

@ Less than 1 %.

Table 5:- DATA ON FUND OF FUNDS (DOMESTIC) - NOVEMBER 2011

(Rs. In Crore)

	No. of Schemes	Sales	Redemption	Assets under Management as on November 30, 2011	Average Assets under Management for the Month
Fund of Funds	** 31	575	450	6,077	6,071

Note :

Fund of Funds is a scheme wherein the assets are invested in the existing schemes of mutual funds and hence, the figures indicated herein are included in tables 1 to 4. Data on fund of funds is given for information only.

** Includes New Fund Offer - HDFC Gold Fund